

# Topological Data Analysis —

A Role for Algebraic Topology in Analyzing Models and Data

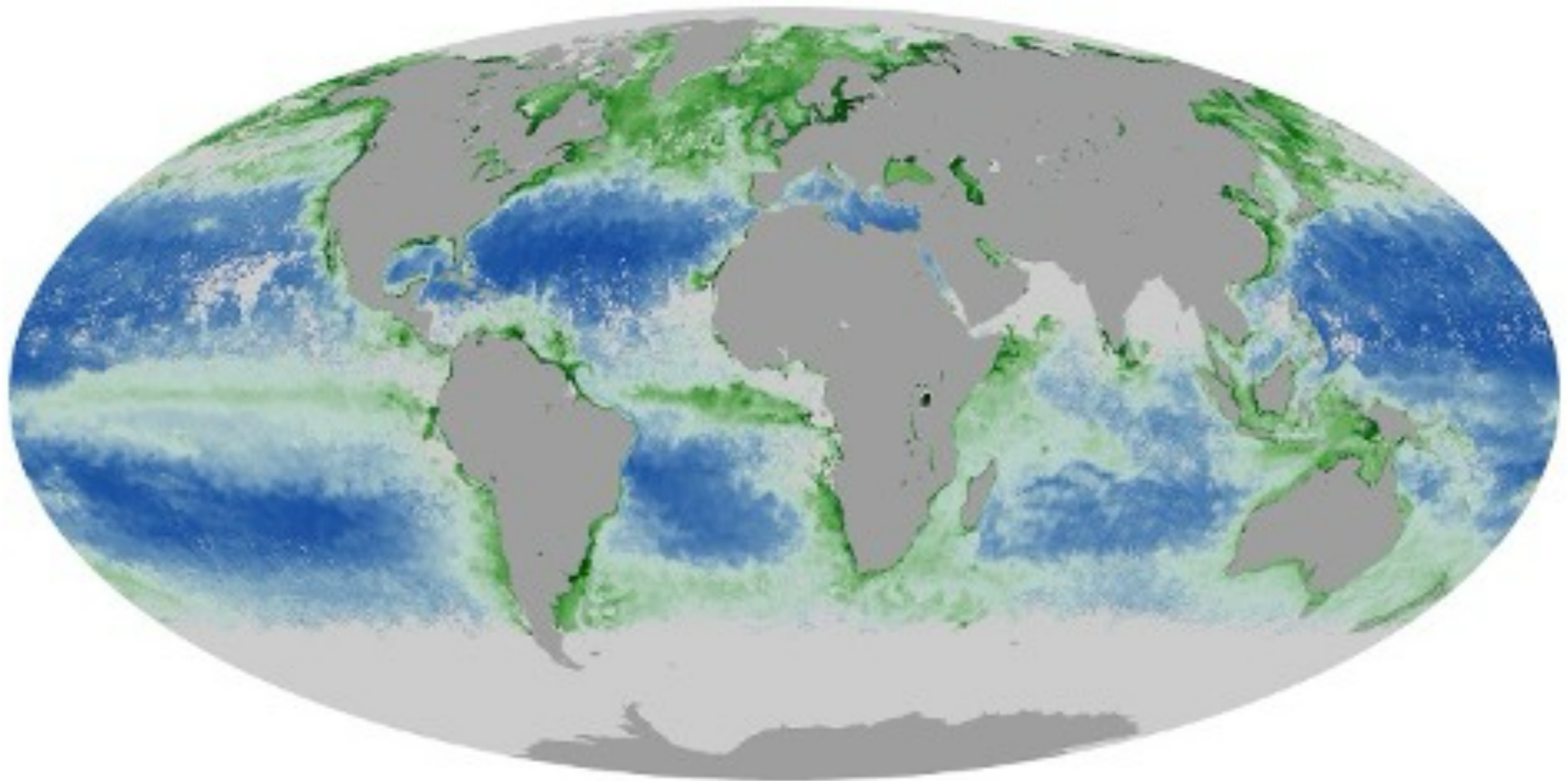
**Sarah Day**

WM GAG Seminar

April 3, 2024

*with Yu-Min Chung (Eli Lilly), Kait Keegan (UNR), Laura Storch (Bates),  
Sage Stanish (WM '22, Glasgow), Julius Kiewel (WM)*





Chlorophyll Concentration  
( $\text{mg}/\text{m}^3$ )



July 2002

[https://earthobservatory.nasa.gov/global-maps/MY1DMM\\_CHLORA](https://earthobservatory.nasa.gov/global-maps/MY1DMM_CHLORA)



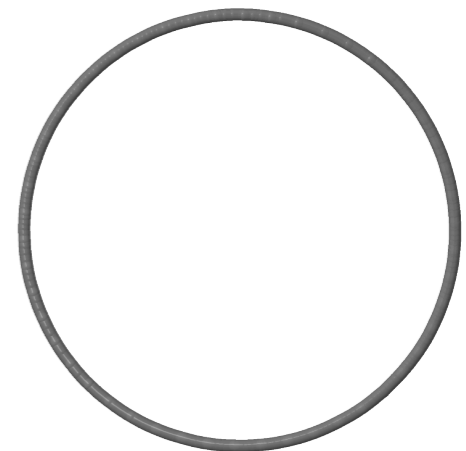


(Topology, Wikipedia)

*Topological Zoo*  
Anatoly Fomenko  
1967



# Homology

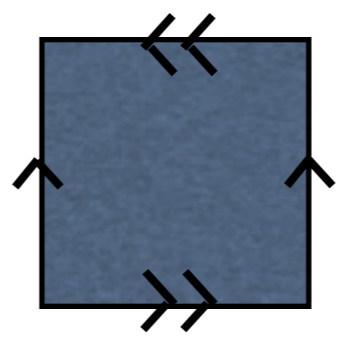


$$H_k(X) \cong \begin{cases} \mathbb{Z} & k = 0, 1 \\ 0 & \text{otherwise.} \end{cases}$$

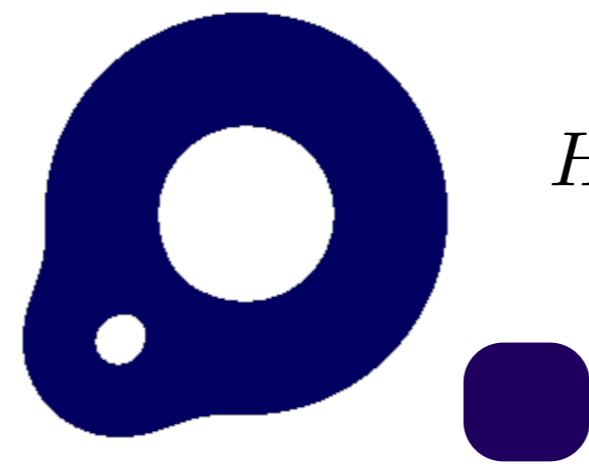
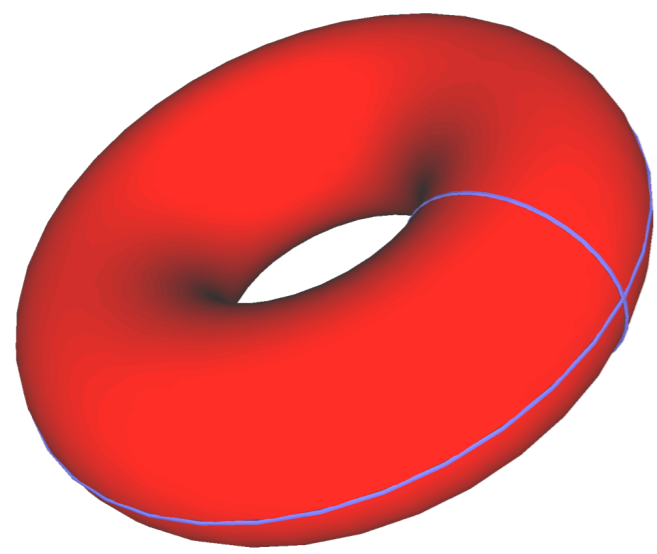
$$H_k(S^n) \cong \begin{cases} \mathbb{Z} & k = 0, n \\ 0 & \text{otherwise.} \end{cases}$$

or

$$H_k(X) \cong \begin{cases} \mathbb{Z} & k = 0, 2 \\ \mathbb{Z}^2 & k = 1 \\ 0 & \text{otherwise.} \end{cases}$$



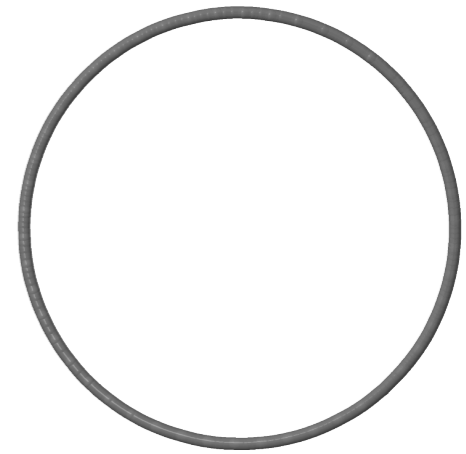
$$H_k(K) \cong \begin{cases} \mathbb{Z} & k = 0, \\ \mathbb{Z} \oplus \mathbb{Z}/2\mathbb{Z} & k = 1, \\ 0 & \text{otherwise.} \end{cases}$$



$$H_k(X) \cong \begin{cases} \mathbb{Z}^2 & k = 0, 1 \\ 0 & \text{otherwise.} \end{cases}$$



# Betti numbers



$$H_k(X) \cong \begin{cases} \mathbb{Z} & k = 0, 1 \\ 0 & \text{otherwise.} \end{cases}$$

$$\beta_0 = 1$$

$$\beta_1 = 1$$

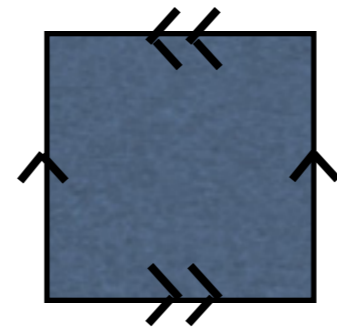
or

$$\beta_0 = 1$$

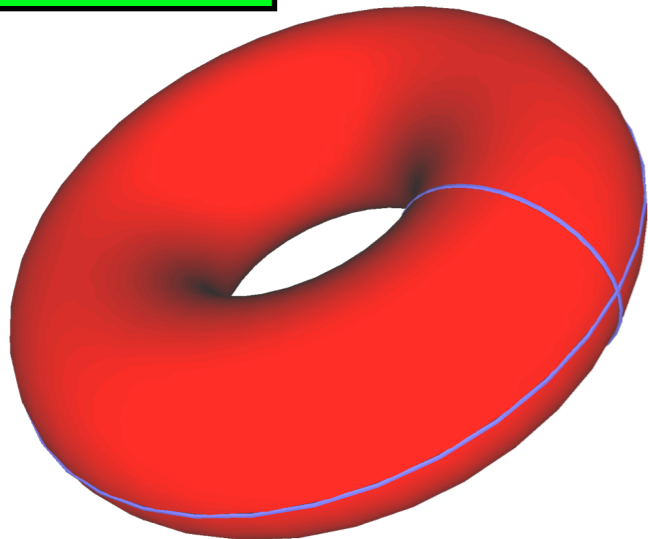
$$\beta_1 = 2$$

$$\beta_2 = 1$$

$$H_k(X) \cong \begin{cases} \mathbb{Z} & k = 0, 2 \\ \mathbb{Z}^2 & k = 1 \\ 0 & \text{otherwise.} \end{cases}$$



$$H_k(K) \cong \begin{cases} \mathbb{Z} & k = 0, \\ \mathbb{Z} \oplus \mathbb{Z}/2\mathbb{Z} & k = 1, \\ 0 & \text{otherwise.} \end{cases}$$



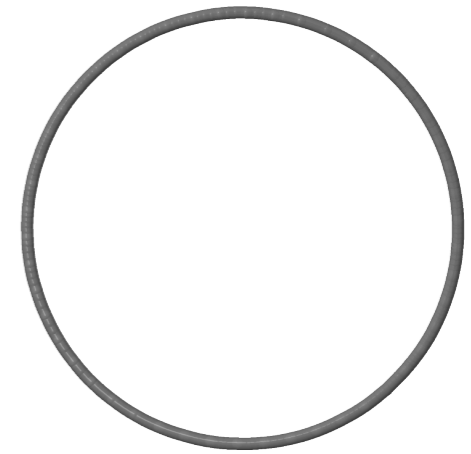
$$\beta_0 = 2$$

$$\beta_1 = 2$$



$$H_k(X) \cong \begin{cases} \mathbb{Z}^2 & k = 0, 1 \\ 0 & \text{otherwise.} \end{cases}$$

# Betti numbers and Euler Characteristic



$$H_k(X) \cong \begin{cases} \mathbb{Z} & k = 0, 1 \\ 0 & \text{otherwise.} \end{cases}$$

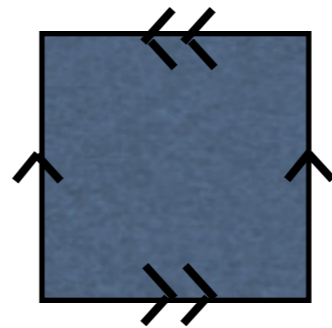
$$\begin{aligned} \beta_0 &= 1 \\ \beta_1 &= 1 \end{aligned}$$

$$\chi = \sum (-1)^n k_n = \sum (-1)^n \beta_n$$

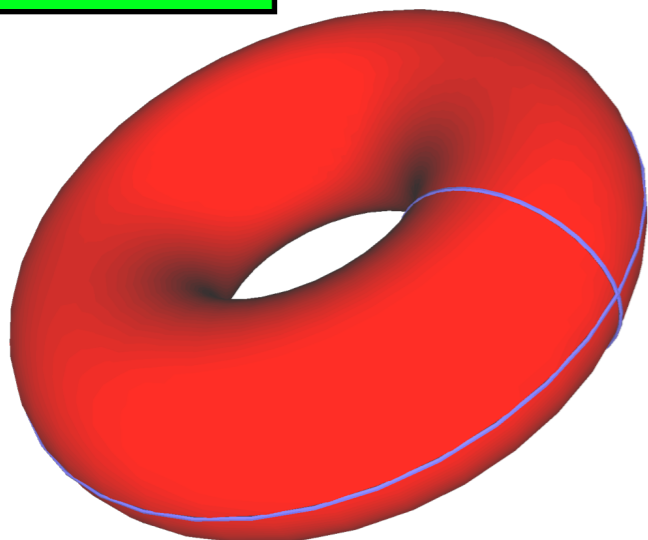
or

$$\begin{aligned} \beta_0 &= 1 \\ \beta_1 &= 2 \\ \beta_2 &= 1 \end{aligned}$$

$$H_k(X) \cong \begin{cases} \mathbb{Z} & k = 0, 2 \\ \mathbb{Z}^2 & k = 1 \\ 0 & \text{otherwise.} \end{cases}$$



$$H_k(K) \cong \begin{cases} \mathbb{Z} & k = 0, \\ \mathbb{Z} \oplus \mathbb{Z}/2\mathbb{Z} & k = 1, \\ 0 & \text{otherwise.} \end{cases}$$



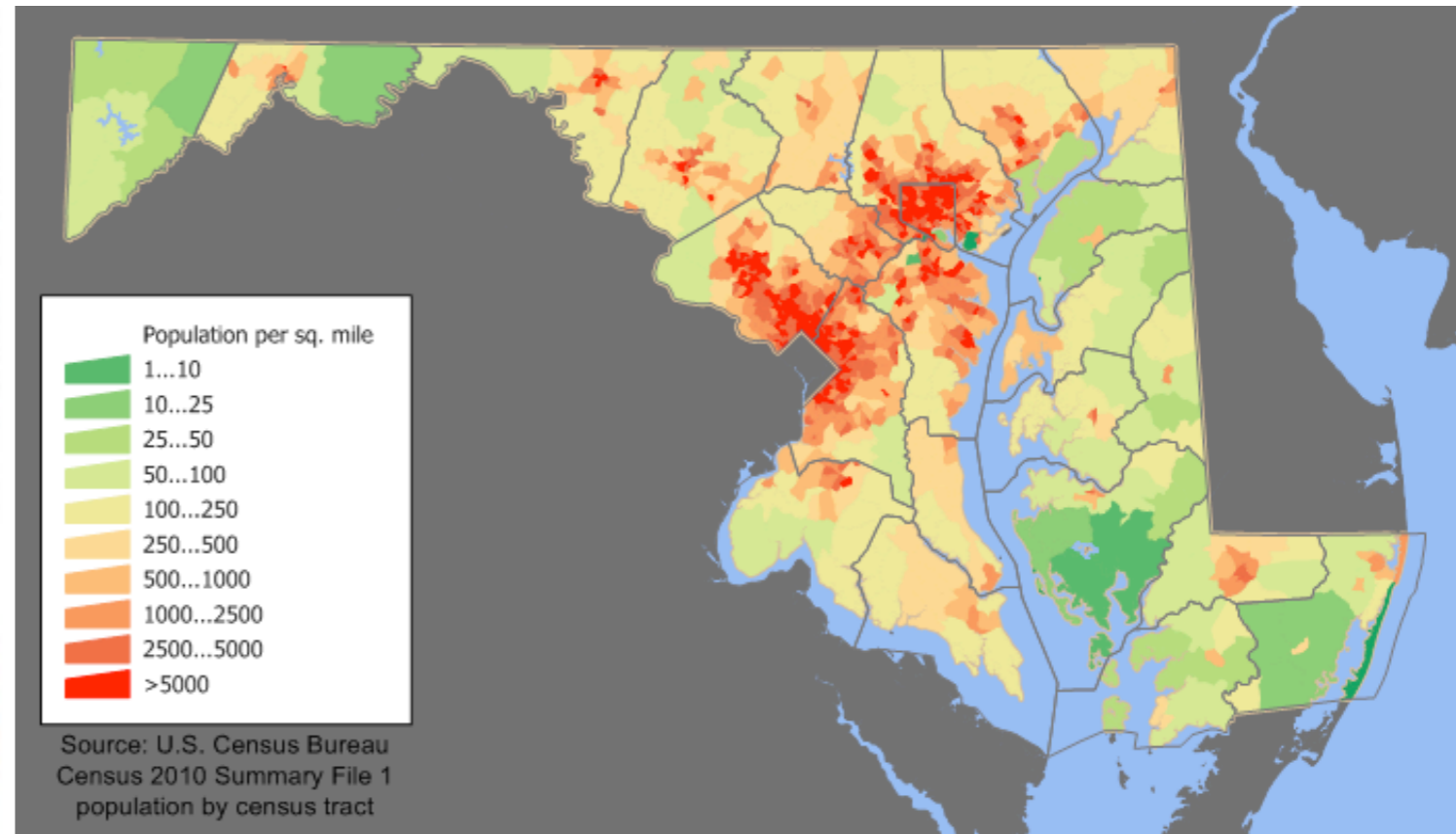
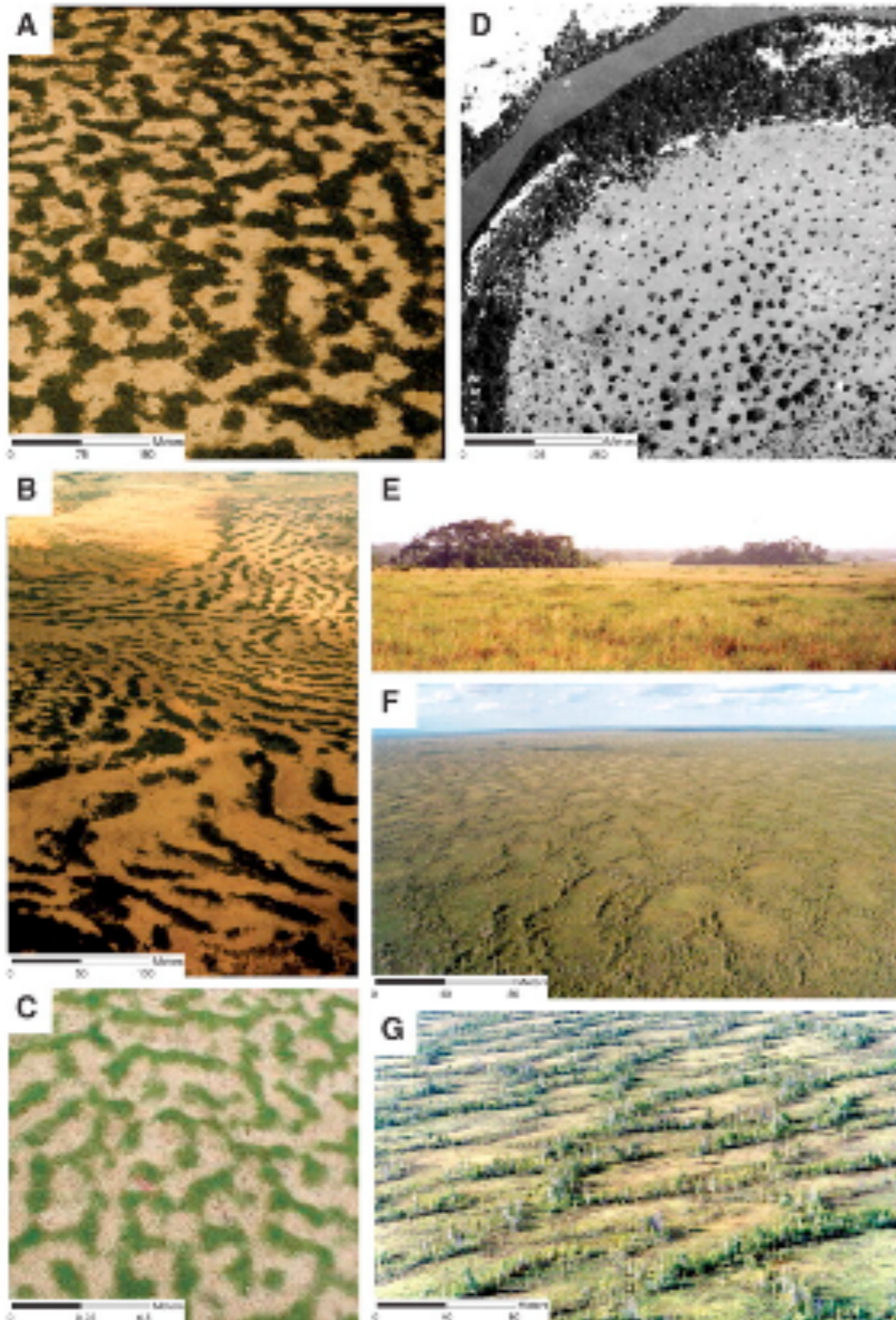
$$\begin{aligned} \beta_0 &= 2 \\ \beta_1 &= 2 \end{aligned}$$

$$H_k(X) \cong \begin{cases} \mathbb{Z}^2 & k = 0, 1 \\ 0 & \text{otherwise.} \end{cases}$$

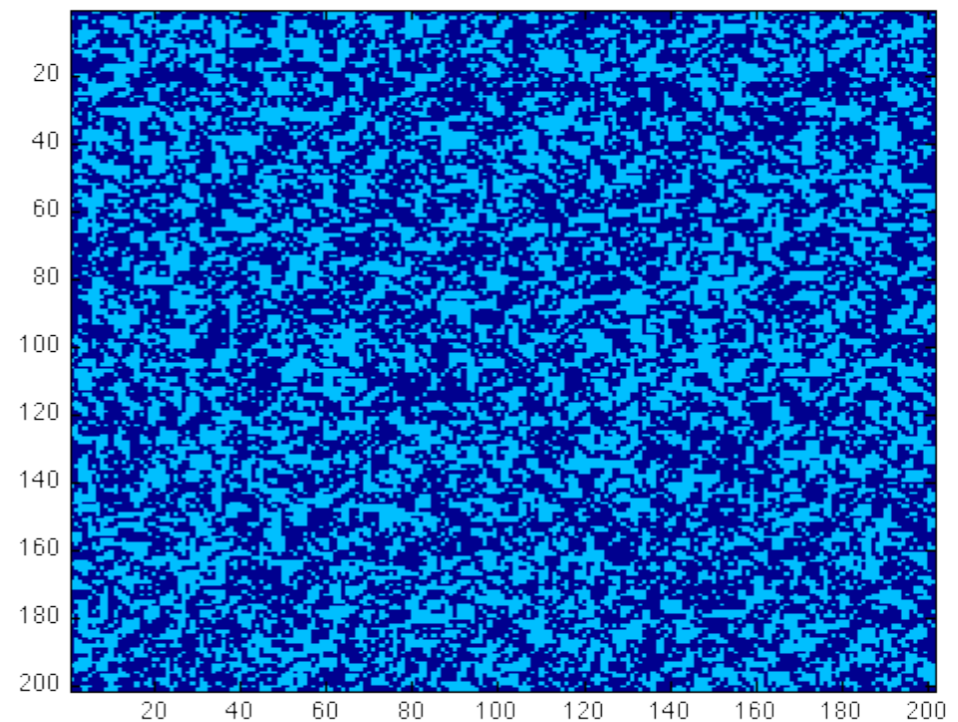




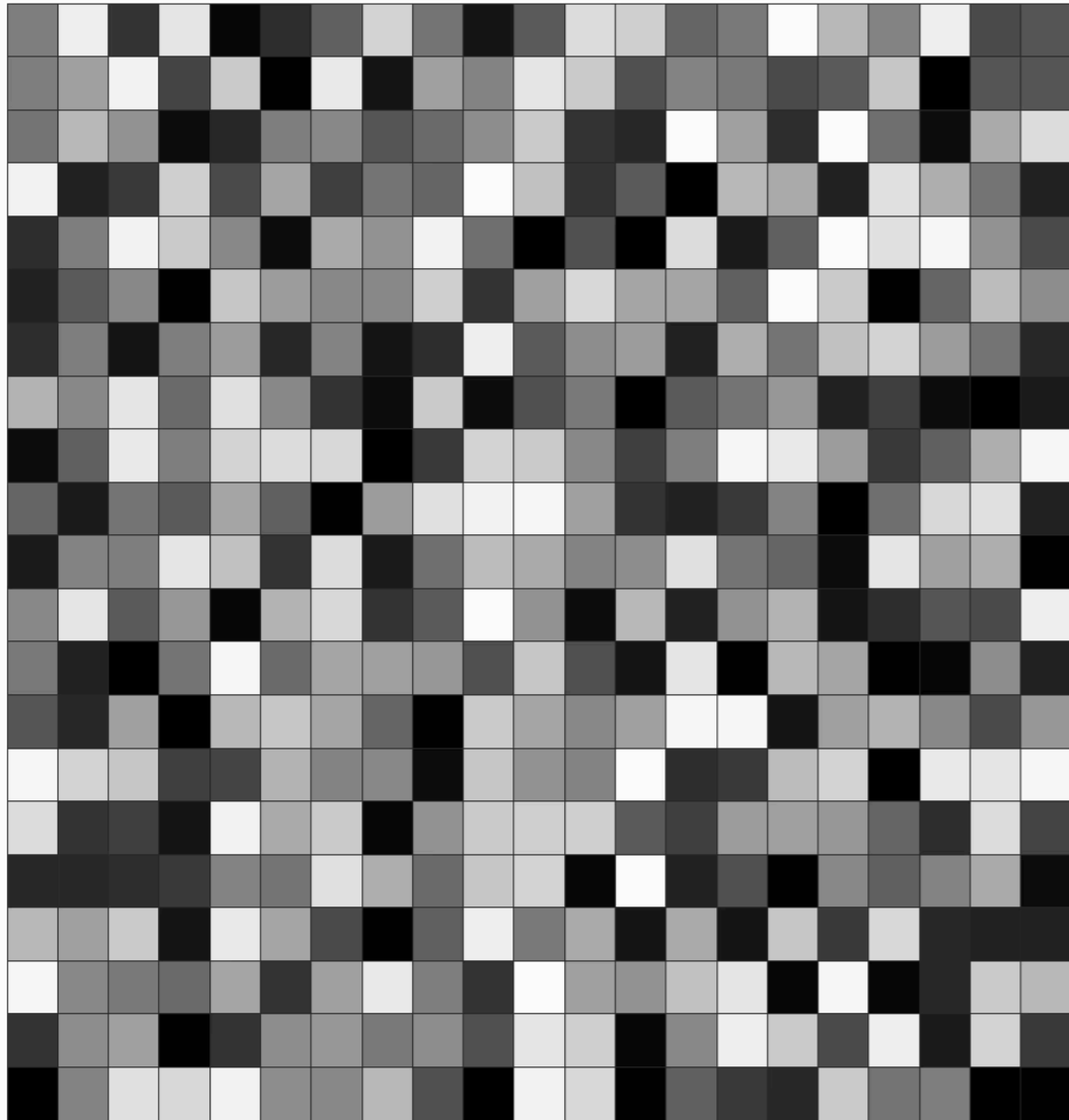
# population density patterns



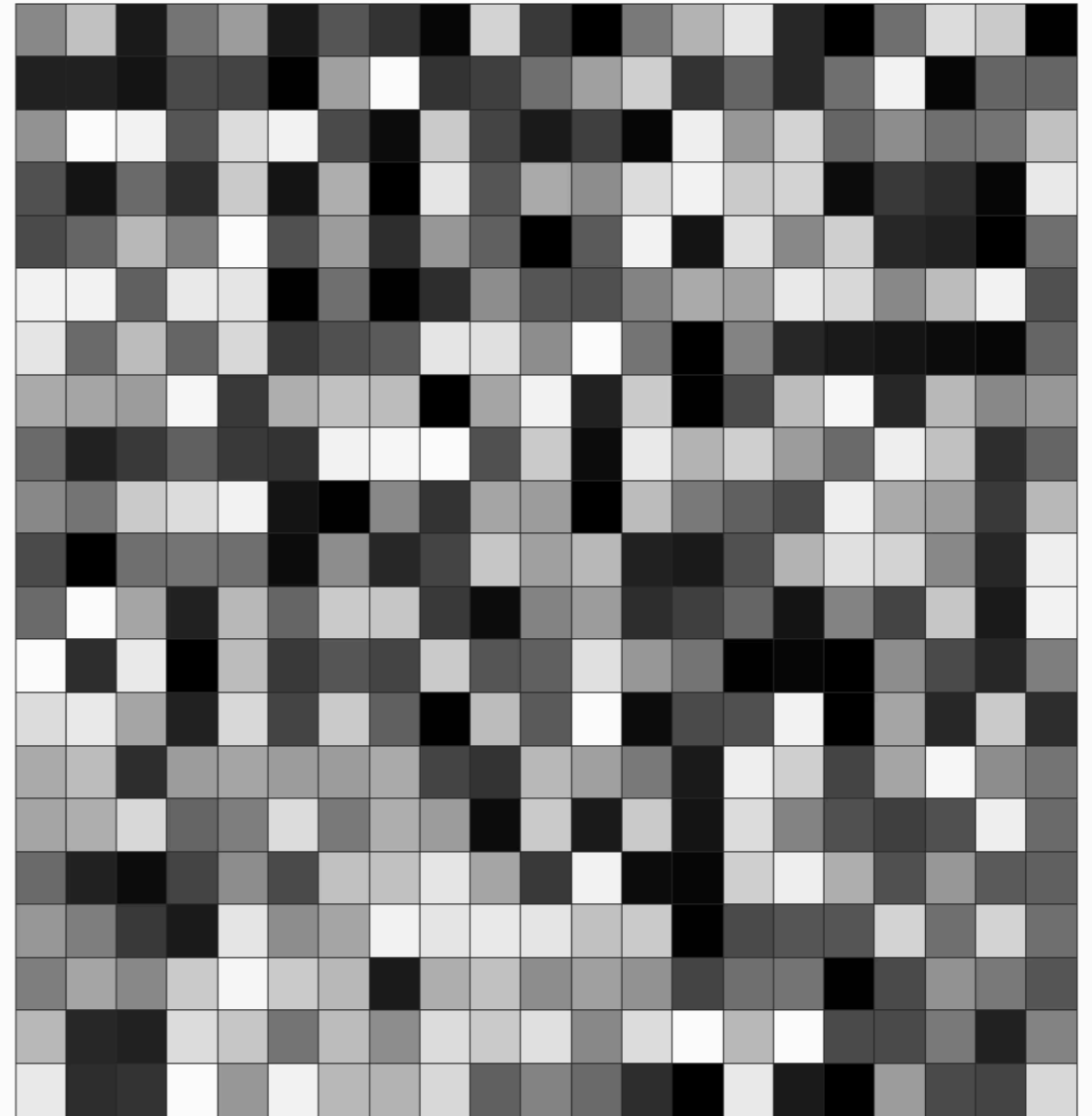
<http://en.wikipedia.org/wiki/Maryland>



# Coupled-patch growth/dispersal lattice model



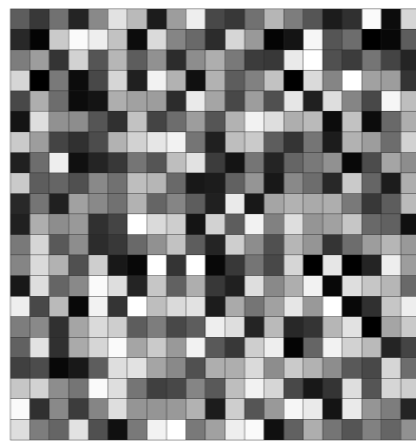
Low dispersal



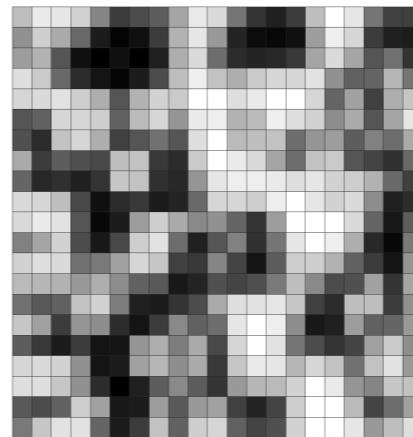
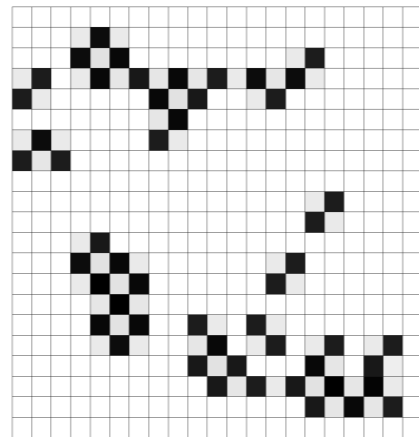
High dispersal



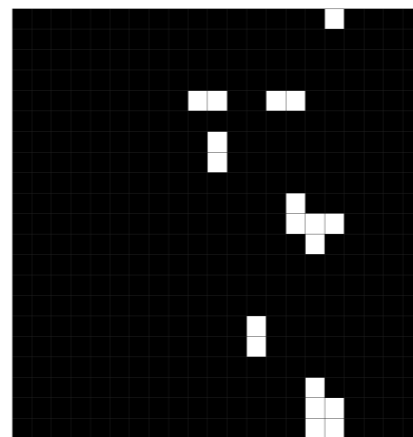
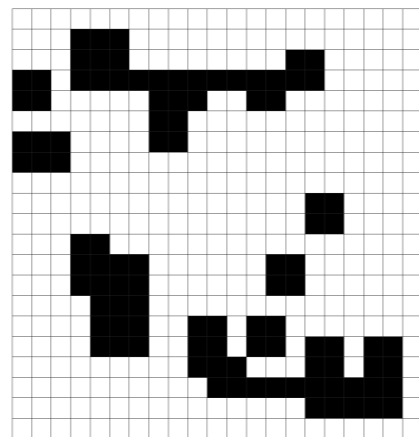
initial condition



iterate 100



measurement/  
population  
pattern



*black indicates  
occupied patch*

low dispersal

high dispersal

$$\beta_0 = 7 \text{ and } \beta_1 = 0$$

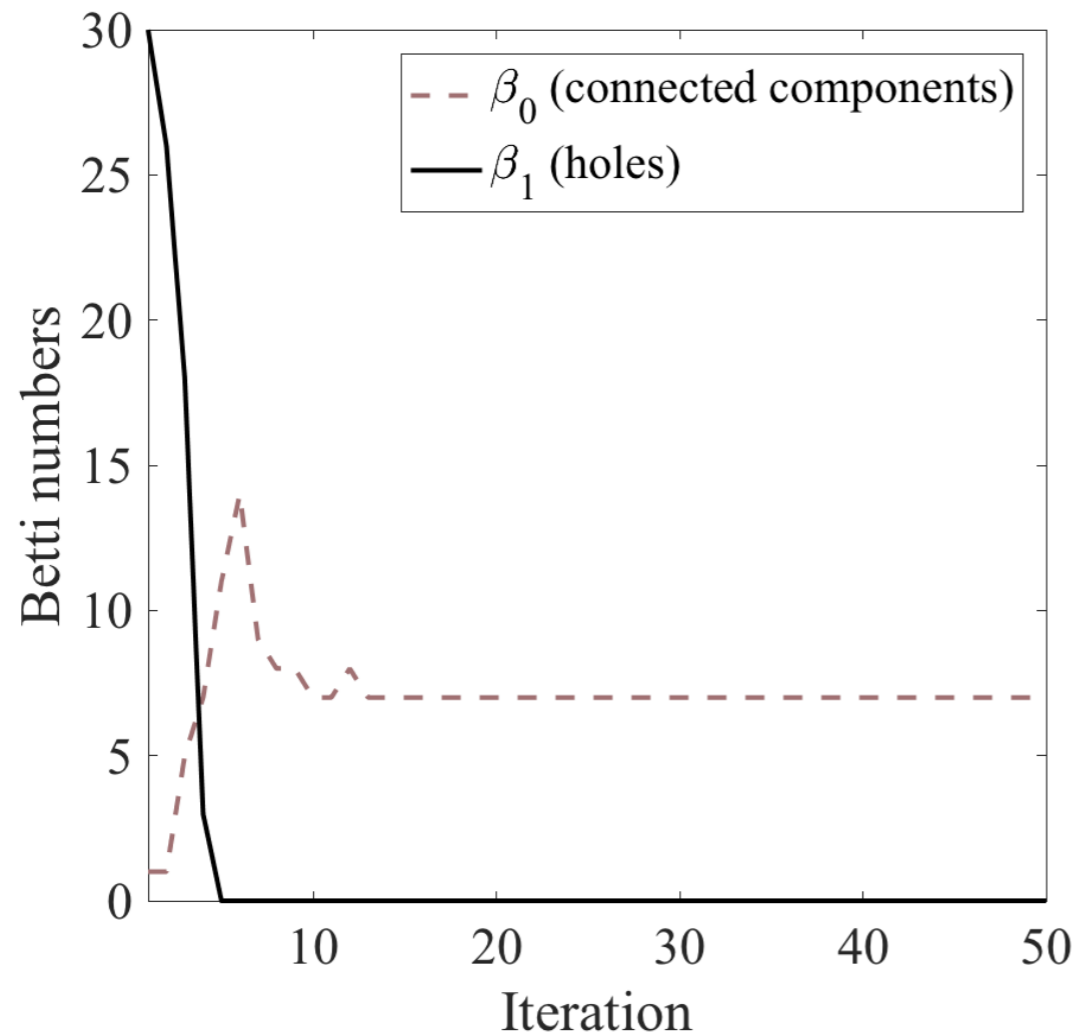
$$\beta_0 = 1 \text{ and } \beta_1 = 5$$

# (temporarily) decoupled subsystems

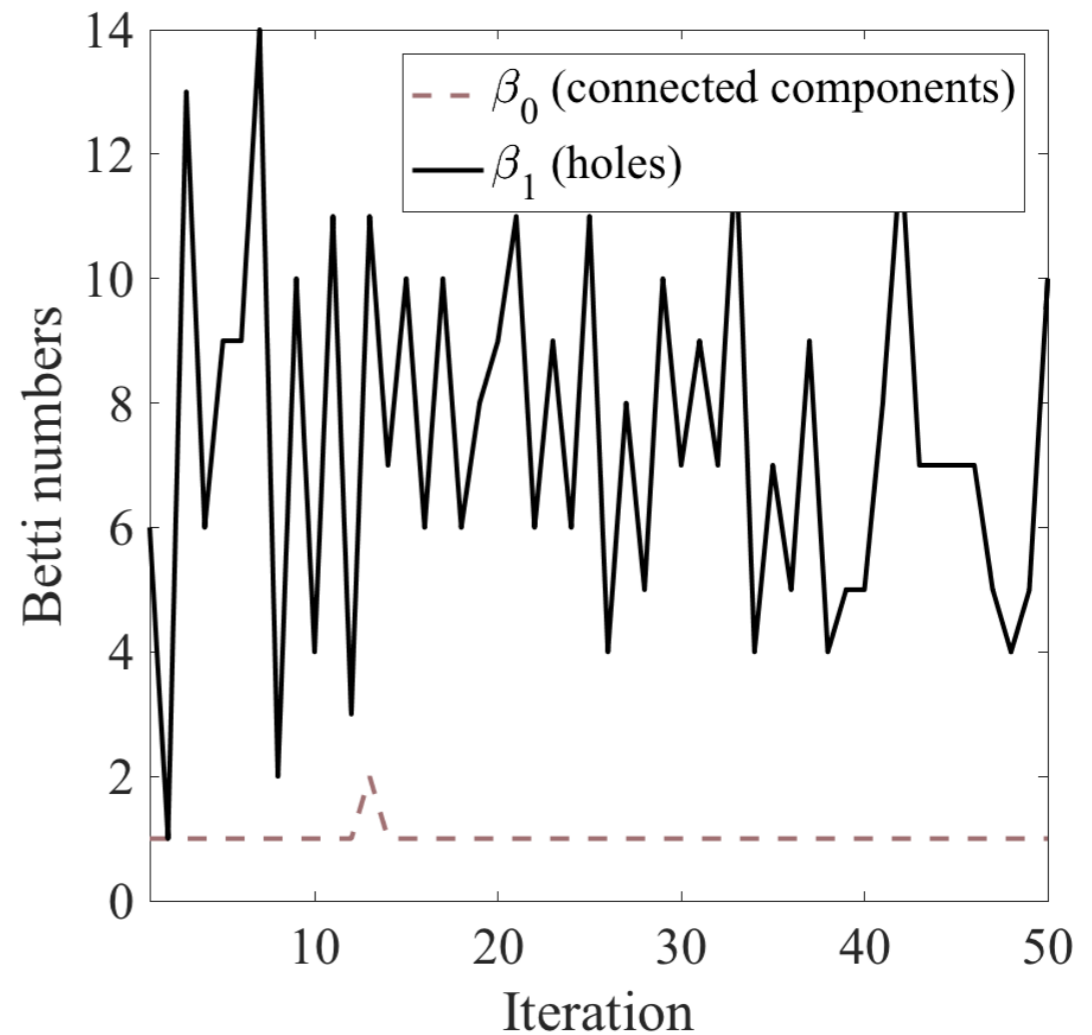
# enclosed dead regions

# Betti number time series

low dispersal



high dispersal

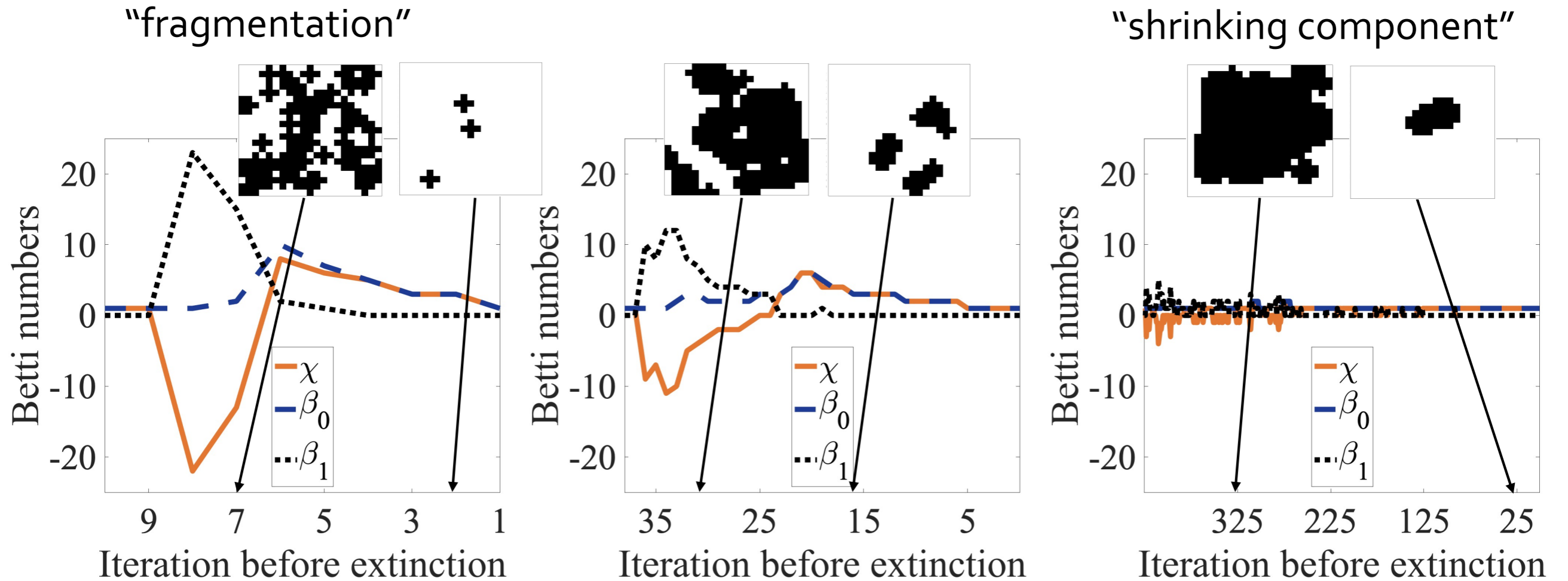


↑  
topological steady state

$$\chi = \sum (-1)^n k_n = \sum (-1)^n \beta_n$$



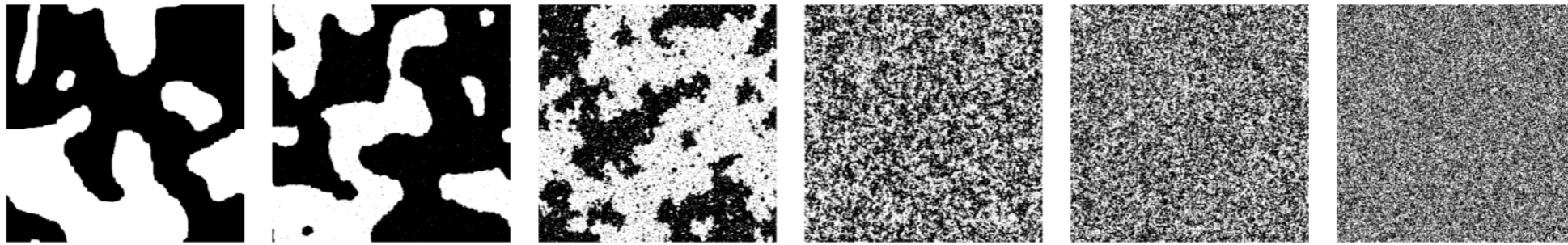
# Routes to extinction



\*Storch, D. *Topological early warning signals: Quantifying varying routes to extinction in a spatially distributed population model*, 2022.

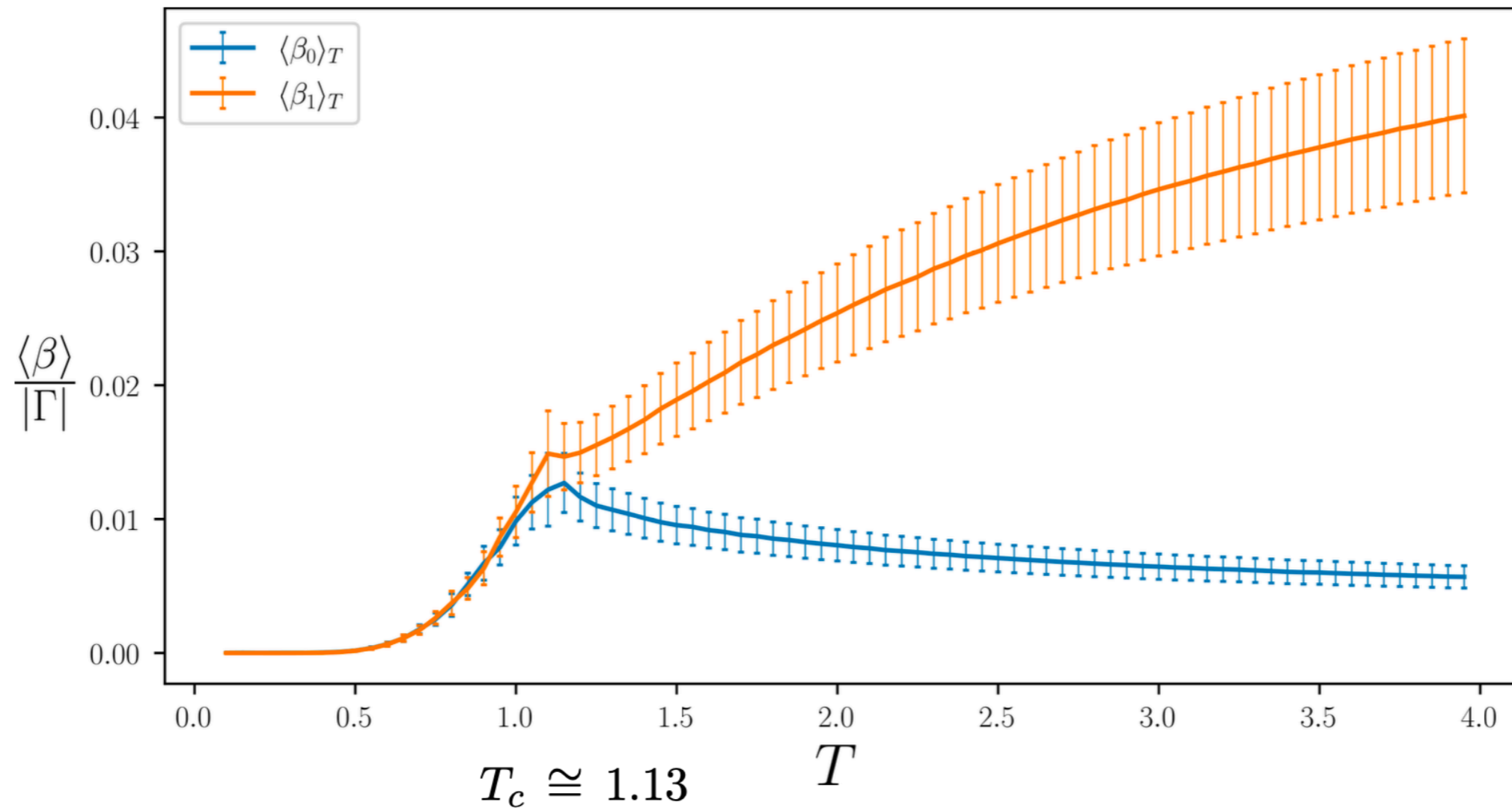
# Sage Stanish (WMM '22, Glasgow)

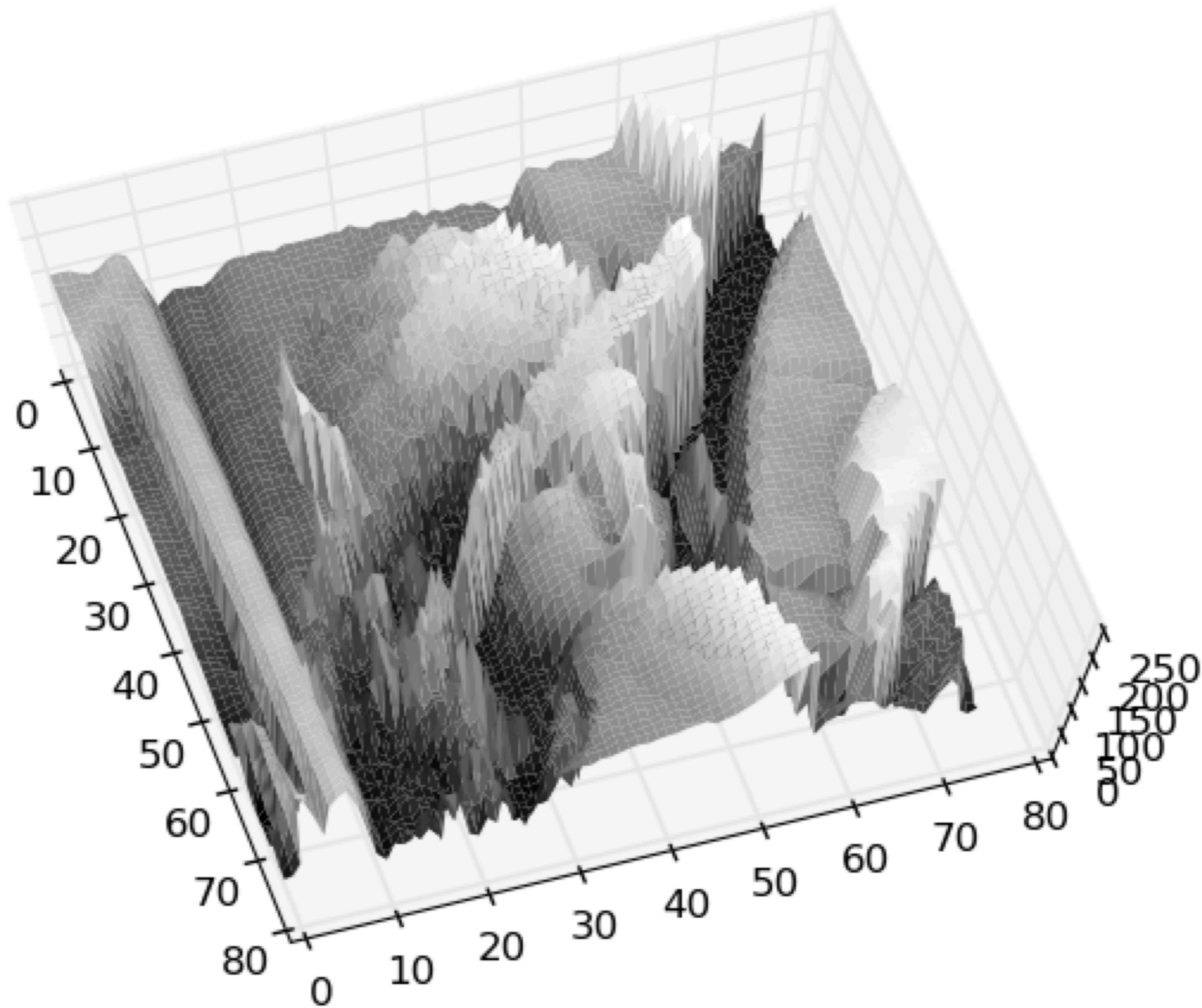
## THE CRITICAL TRANSITION IN THE ISING MODEL



(a)  $T = 0.1$    (b)  $T = 0.6$    (c)  $T = 1.1$    (d)  $T = 1.35$    (e)  $T = 1.6$    (f)  $T = 2.6$

## THE HOMOLOGY OF THE ISING MODEL



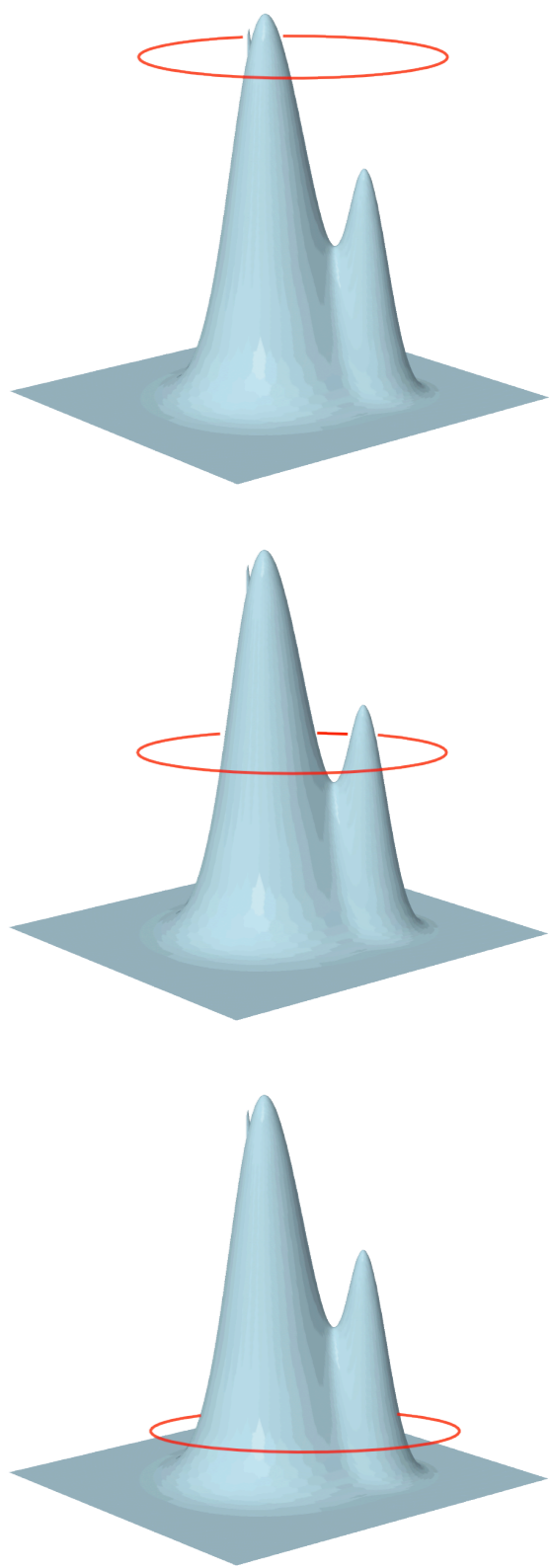
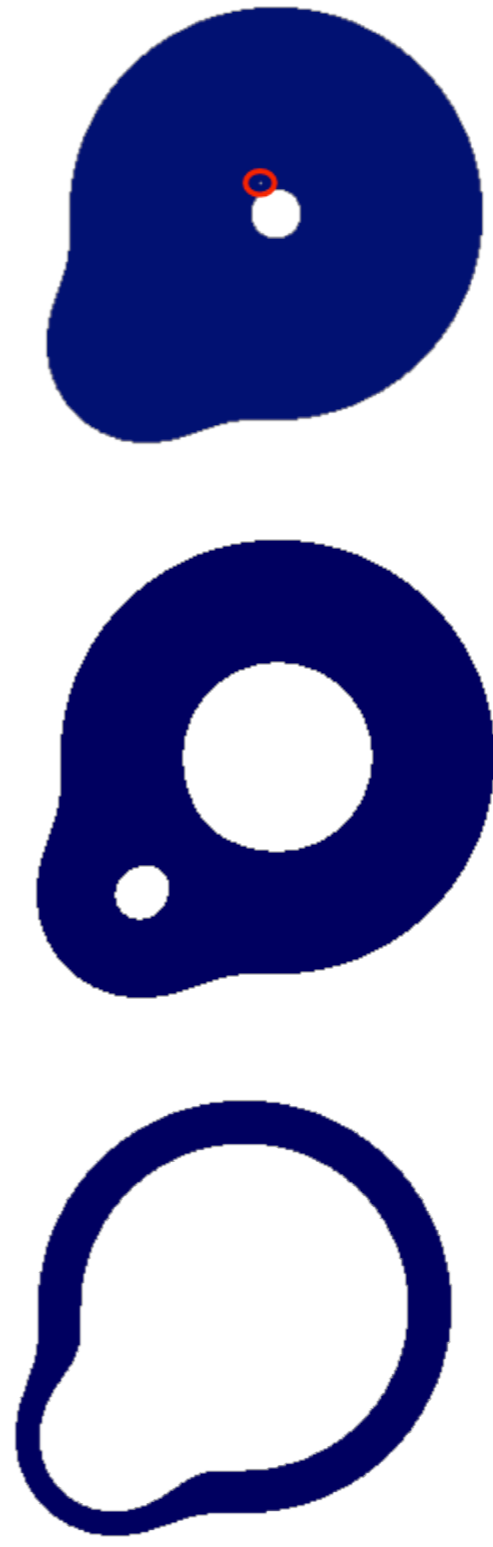
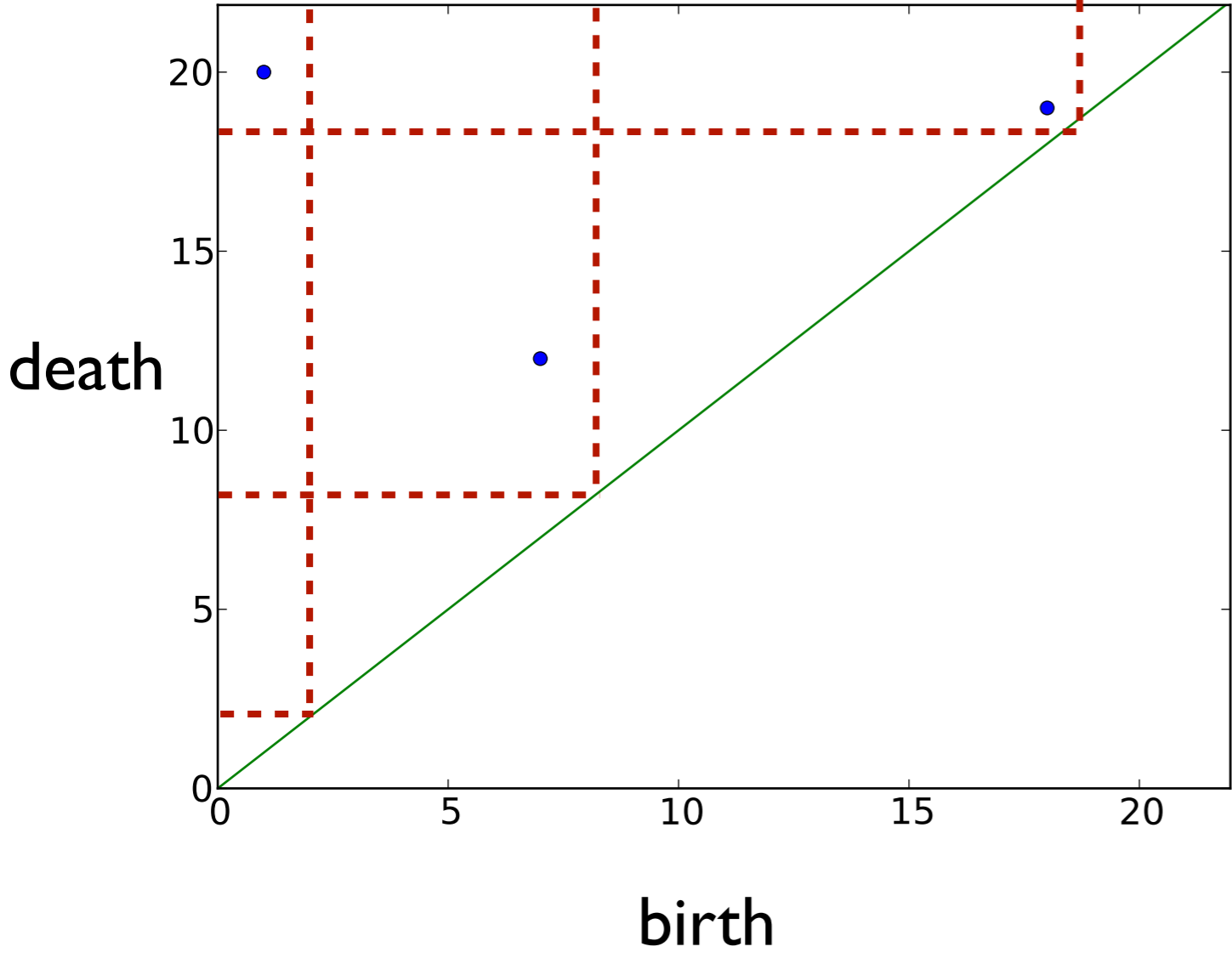


*Can we use grayscale information?*



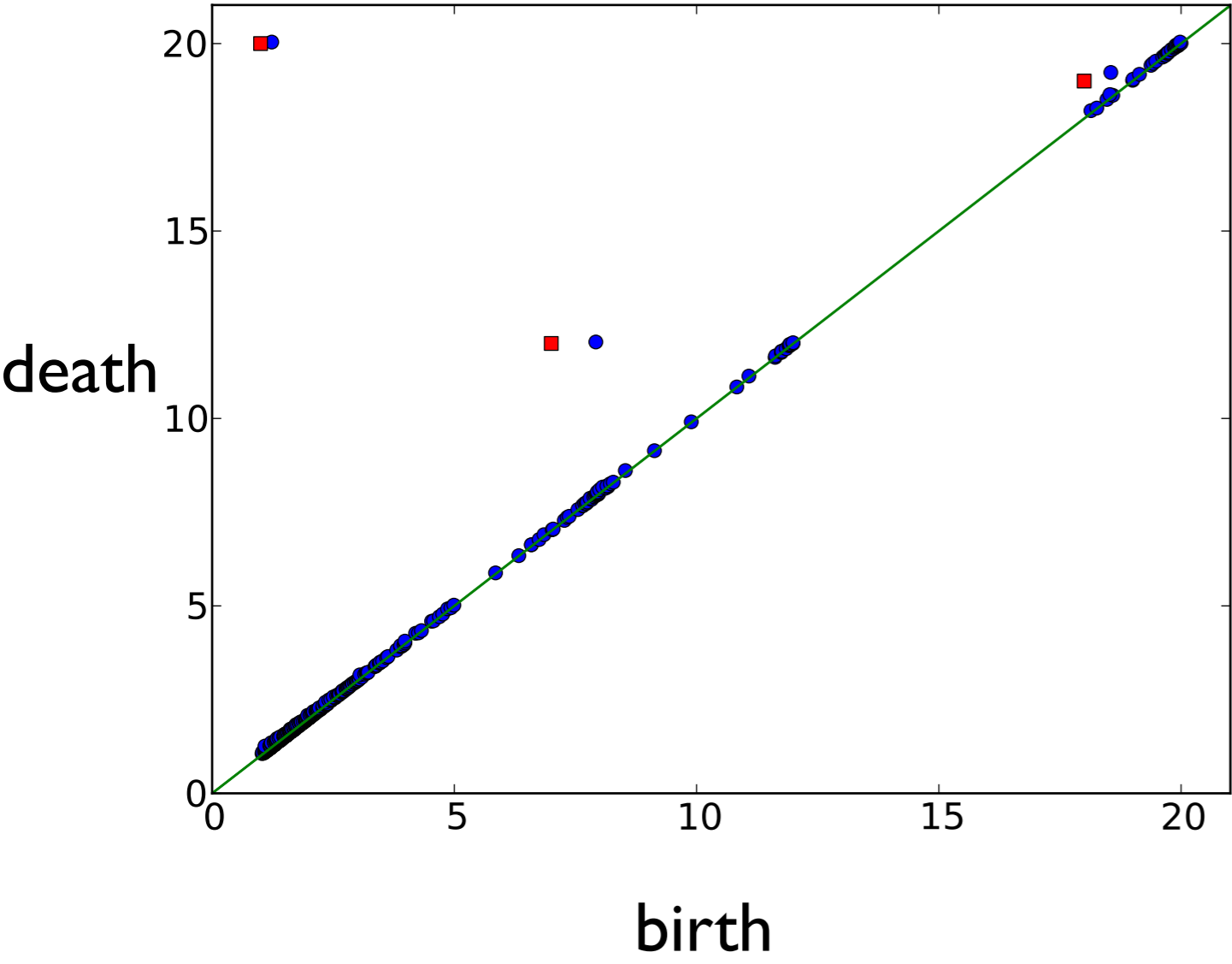
# Persistent Homology

$H_1$  Persistence Diagram

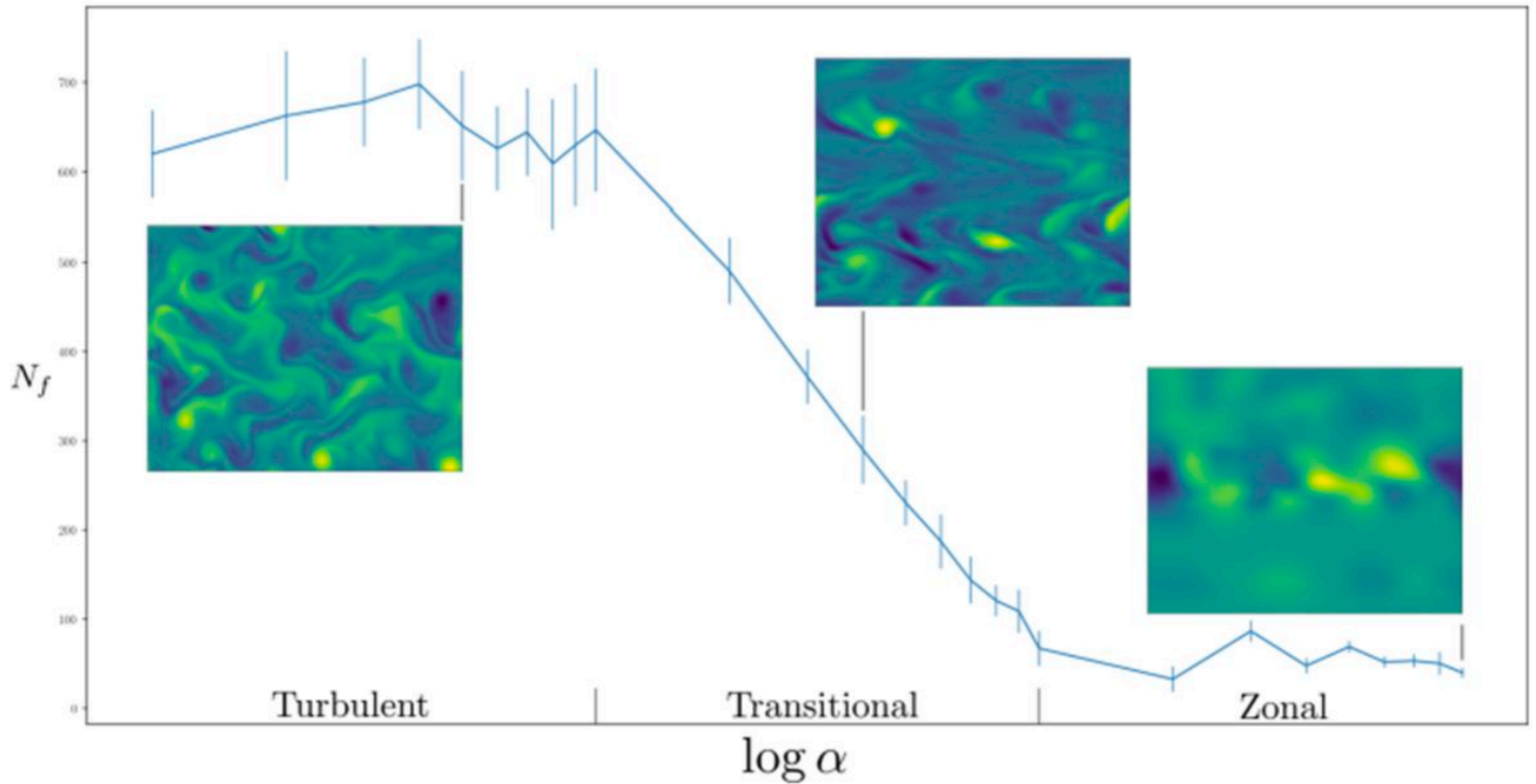


With small additive noise

$H_1$  Persistence Diagram



# THE NUMBER OF FEATURES IN TURBULENT STATES

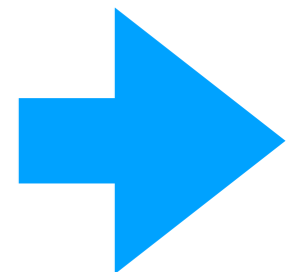




*Coming soon ... Julius Kiewel's Honors Thesis*



Measured Plasma



# From a 1-filtration to a multi-filtration...

**Definition 3** (Equations (1.6) and (1.7)<sup>20</sup> p. 10). For  $g \in \mathcal{I}_P$  and structuring element  $B \subseteq \mathbb{Z}^m$ , the erosion of  $g$  via  $B$  is an image  $\varepsilon_B(g) \in \mathcal{I}_P$  defined by

$$\varepsilon_B(g)(\mathbf{x}) = \min g \left( (\mathbf{x} + B) \cap P \right) = \min \{ g(\mathbf{x} + \mathbf{b}) \mid \mathbf{b} \in B, \mathbf{x} + \mathbf{b} \in P \}. \quad (1)$$

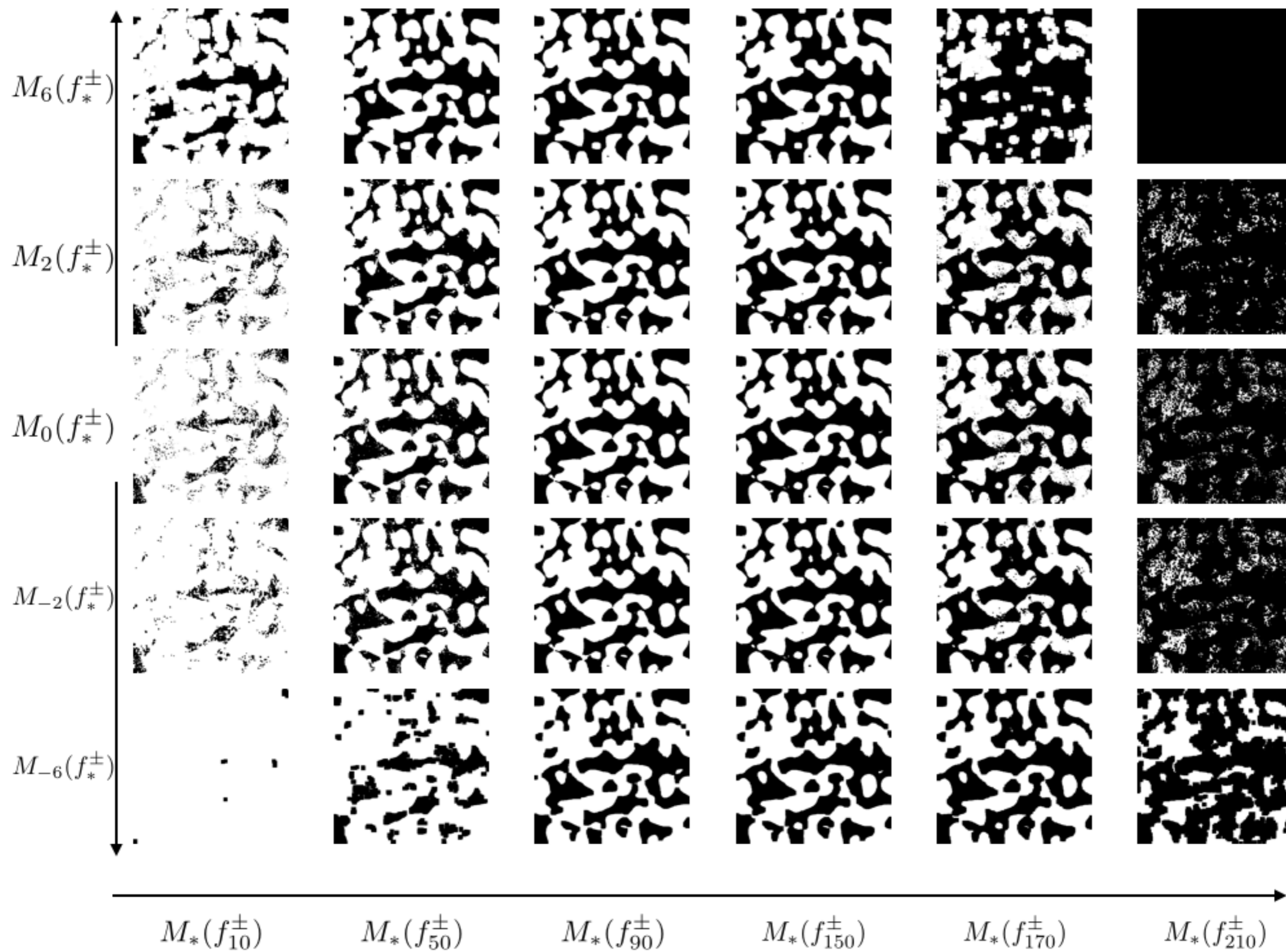
Similarly, the dilation of  $g$  via  $B$  is an image  $\delta_B(g) \in \mathcal{I}_P$  defined by

$$\delta_B(g)(\mathbf{x}) = \max g \left( (\mathbf{x} - B) \cap P \right) = \max \{ g(\mathbf{x} - \mathbf{b}) \mid \mathbf{b} \in B, \mathbf{x} - \mathbf{b} \in P \}. \quad (2)$$

Since  $\mathbf{0} \in B$  and  $B$  is finite,  $(\mathbf{x} + B) \cap P$  and  $(\mathbf{x} - B) \cap P$  are non-empty, finite sets whenever  $\mathbf{x} \in P$ . Therefore,  $\varepsilon_B(g)$  and  $\delta_B(g)$  are well-defined.



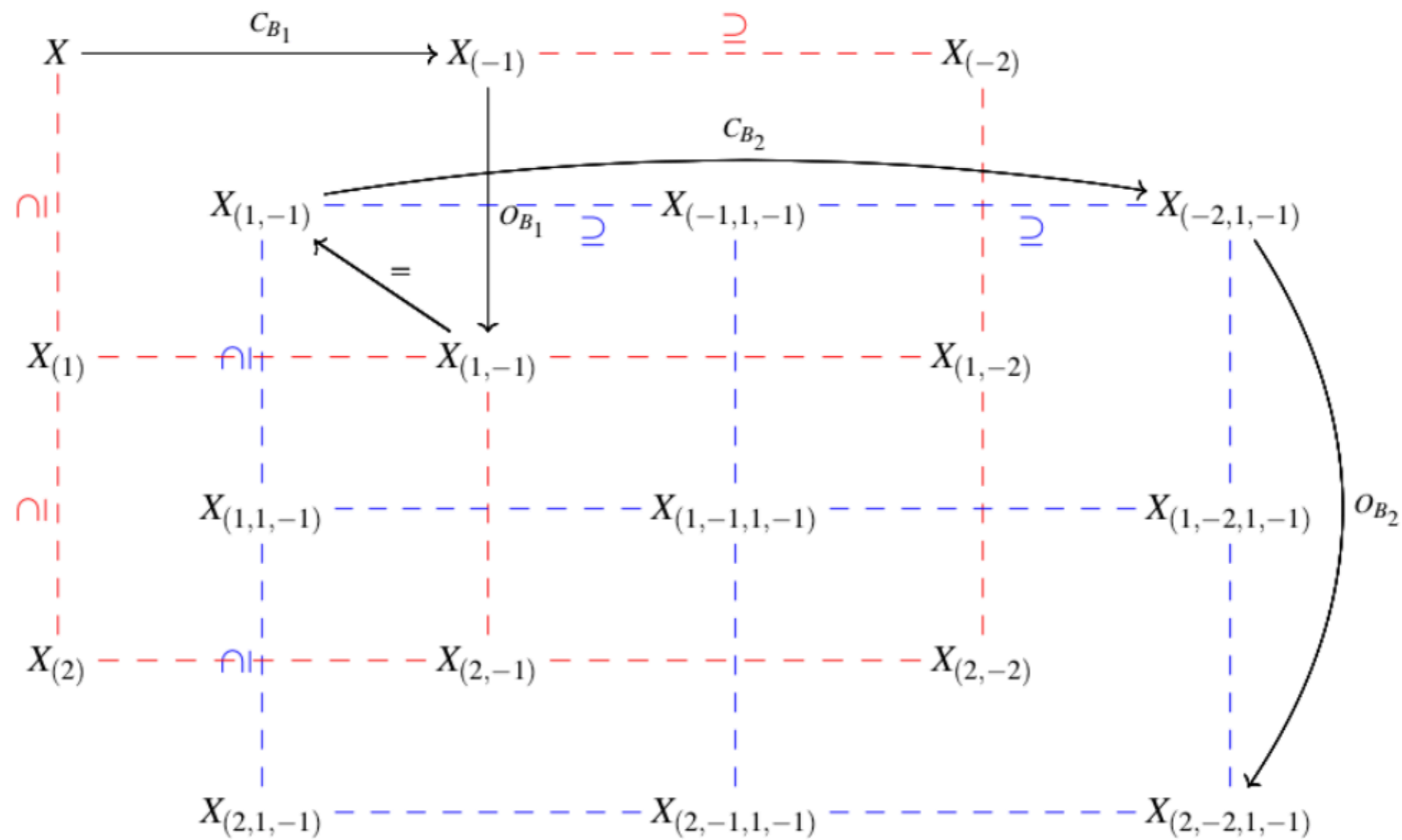
A shape (in blue) and its morphological dilation (in green) and erosion (in yellow) by a diamond-shaped structuring element.





# Set inclusions in a 2D slice of a 4-filtration...

$$\begin{array}{cccccc}
 X_{1,(n,-2,3)}^{\mathcal{E},\mathcal{D}} & \subseteq & X_{2,(n,-2,3)}^{\mathcal{E},\mathcal{D}} & \subseteq \cdots \subseteq & X_{T-1,(n,-2,3)}^{\mathcal{E},\mathcal{D}} & \subseteq & X_{T,(n,-2,3)}^{\mathcal{E},\mathcal{D}} \\
 \cup & & \cup & & \cup & & \cup \\
 X_{1,(n-1,-2,3)}^{\mathcal{E},\mathcal{D}} & \subseteq & X_{2,(n-1,-2,3)}^{\mathcal{E},\mathcal{D}} & \subseteq \cdots \subseteq & X_{T-1,(n-1,-2,3)}^{\mathcal{E},\mathcal{D}} & \subseteq & X_{T,(n-1,-2,3)}^{\mathcal{E},\mathcal{D}} \\
 \cup & & \cup & & \cup & & \cup \\
 \vdots & & \vdots & & \vdots & & \vdots \\
 \cup & & \cup & & \cup & & \cup \\
 X_{1,(1,-2,3)}^{\mathcal{E},\mathcal{D}} & \subseteq & X_{2,(1,-2,3)}^{\mathcal{E},\mathcal{D}} & \subseteq \cdots \subseteq & X_{T-1,(1,-2,3)}^{\mathcal{E},\mathcal{D}} & \subseteq & X_{T,(1,-2,3)}^{\mathcal{E},\mathcal{D}} \\
 \cup & & \cup & & \cup & & \cup \\
 X_{1,(0,-2,3)}^{\mathcal{E},\mathcal{D}} & \subseteq & X_{2,(0,-2,3)}^{\mathcal{E},\mathcal{D}} & \subseteq \cdots \subseteq & X_{T-1,(0,-2,3)}^{\mathcal{E},\mathcal{D}} & \subseteq & X_{T,(0,-2,3)}^{\mathcal{E},\mathcal{D}}
 \end{array}$$



# Zooming out ...

- More techniques are needed to extract information from morphological/thresholding multifiltrations.
- *These techniques may be able to overcome problems of error, noise, and missingness/sparsity in spatial data.*
- There are similar TDA tools to study point cloud and graph/network structure.
- Yet more sophisticated techniques (filtrations and invariants) may be used to track dynamics.

Thank you!