

# Introduction to Quantum Groups

## I. Groups, Algebras and Duality

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## Next on *Introduction to Quantum Groups*

- Oct. 7: *q-Deformations of Lie algebras*  
E. Shelburne'21
- Oct. 21: *Quantum automorphisms of graphs*  
S. Phillips'21 and A. Pisharody'21
- Oct. 28: *TBD*  
M. Weber (Saarland University)
- Nov. 11: *TBD*  
E. Swartz

## Shocking Revelation

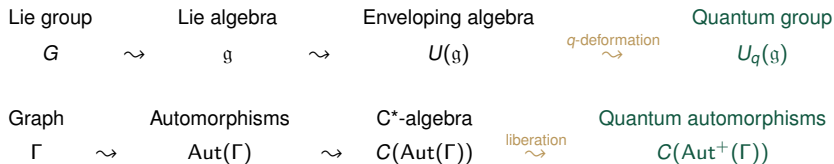
Noncommutative Geometry is *pointless* and quantum groups don't really exist.



## Oversimplification

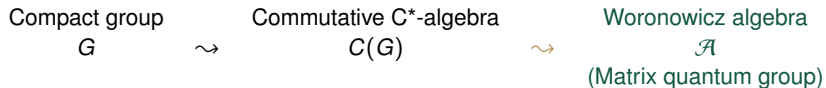
Quantum objects are given in terms of algebraic structures obtained by **altering** structures associated with classical objects.

## Outline of future talks



# Today's objectives

- 1  $C^*$ -algebras are the right language for quantum (noncommutative) spaces.
- 2 Generic instance of **liberation**: quantum groups à la Woronowicz



Let  $X$  be a locally compact (Hausdorff) space. No algebraic structure.

Consider:

$$C_0(X) = \left\{ f : X \rightarrow \mathbb{C}, \text{ continuous with } \lim_{x \rightarrow \infty} f(x) = 0 \right\}.$$

Then  $C_0(X)$  is an algebra over  $\mathbb{C}$ :

$$(\lambda f + g)(x) = \lambda f(x) + g(x) \quad , \quad (fg)(x) = f(x)g(x).$$

It also carries a norm:

$$\|f\| = \sup \{ |f(x)|, x \in X \}$$

for which it is complete, and an involution

$$f^*(x) = \overline{f(x)}$$

such that

$$\|f^* f\| = \|f\|^2.$$

In other words,  $C_0(X)$  is a  $C^*$ -algebra.

**Remark:** multiplication in  $C_0(X)$  is commutative.

Topological properties of  $X$  can be read off  $C_0(X)$ .

For instance:

Theorem

$X$  is compact  $\Leftrightarrow C_0(X)$  has a multiplicative identity.

Proof: a multiplicative identity  $e$  of  $C_0(X)$  must satisfy

$$e(x)f(x) = f(x)$$

for all  $x \in X$  and every  $f \in C_0(X)$ . Necessarily,  $e(x) = 1$  for all  $x \in X$ .

Constant functions are continuous and  $\lim_{x \rightarrow \infty} 1 = 0$  if and only if  $X$  is compact □

Points in  $X$  give continuous linear functionals on  $C_0(X)$ .

For  $x \in X$ , consider

$$\begin{aligned} \text{eval}_x : C_0(X) &\longrightarrow \mathbb{C} \\ f &\longmapsto f(x) \end{aligned}$$

Every (locally compact) space  $X$  gives rise to a C\*-algebra  $C_0(X)$ .

What C\*-algebras are obtained in this way?

Obviously, only *commutative* C\*-algebras.      All of them?

Theorem (Gelfand-Naimark-Segal, 1943)

Every C\*-algebra  $A$  is isomorphic to a **C**losed \*-invariant subalgebra of continuous linear operators on some Hilbert space  $\mathcal{H}$ :

$$A \subset B(\mathcal{H}).$$

Theorem (Gelfand-Naimark, 1943)

If  $A$  is a *commutative* C\*-algebra, there exists a locally compact Hausdorff space  $X$  (the spectrum of  $A$ ) such that

$$A \simeq C_0(X).$$

Classical spaces



Commutative C\*-algebras.

Let  $\theta \in \mathbb{R}$ . Consider a unital  $C^*$ -algebra  $A_\theta$  generated by two elements  $U$  and  $V$  such that

$$U^*U = 1 \quad , \quad V^*V = 1 \quad , \quad UV = e^{2i\pi\theta} VU.$$

If  $\theta = 0$  then  $A_\theta$  is commutative, hence an algebra of functions by Gelfand-Naimark's theorem. In fact,

$$A_0 \simeq C(\mathbb{T}^2)$$

where  $\mathbb{T}^2 = S^1 \times S^1$  is the 2-torus.



In general,  $A_\theta$  is not commutative, so *not* an algebra of functions... but we still write

$$A_\theta = C(\mathcal{T}_\theta^2)$$

and say that  $\mathcal{T}_\theta^2$  is a *noncommutative torus*.

*Just because a space doesn't exist, doesn't mean it can't have nice properties.*

**Theorem.**  $\mathcal{T}_\theta^2$  is compact.



Proof (interpretation):  $A_\theta$  contains a multiplicative unit. □

**Remark:**  $\mathcal{T}_\theta^2$  may not have points but  $A_\theta$  has linear functionals, representations...



Gelfand theory says:

$$\begin{array}{ccc} \text{Classical spaces} & \xleftrightarrow{\sim} & \text{Commutative } C^*\text{-algebras} \\ X & \xrightarrow{\quad} & C_0(X) \end{array}$$

Relaxing the commutativity condition,

$$\begin{array}{ccc} \text{Quantum spaces} & \longleftrightarrow & \text{(Possibly) noncommutative } C^*\text{-algebras} \\ \text{Ⓠ} & \xrightarrow{\quad} & A \end{array}$$

What is a **quantum group**?

Strategy:

- 1 Associate algebras to ordinary groups.  $k[G], C_r^*(G), \mathcal{L}(G), C(G), \mathfrak{g}, U(\mathfrak{g})\dots$
- 2 Characterize them.
- 3 Relax conditions to get new objects (and call them **quantum groups**).

What algebras should we choose?

Let  $G$  be a locally compact abelian group:  $\mathbb{Z}_n, \mathbb{Z}, \mathbb{T}, \mathbb{R}, \mathbb{Q}_p, \dots$

The *Pontryagin dual* of  $G$  is

$$\widehat{G} = \text{Hom}(G, \mathbb{T}) = \{ \chi : G \rightarrow \mathbb{C}, \text{ cont. hom. with } |\chi(g)| \equiv 1 \}$$

**Theorem (Pontryagin Duality)**

$\widehat{G}$  is a locally compact abelian group and  $\widehat{\widehat{G}} \simeq G$ .

Examples of characters of  $\mathbb{T}$  and  $\mathbb{R}$ :

$$\chi_n(z) = z^n \quad \text{and} \quad \chi_\xi(x) = e^{ix\xi}$$

- $\widehat{\mathbb{R}} = \{ \chi_\xi, \xi \in \mathbb{R} \} \simeq \mathbb{R}$
- $\widehat{\mathbb{T}} = \{ \chi_n, n \in \mathbb{Z} \} \simeq \mathbb{Z}$  and by Pontryagin duality,  $\widehat{\mathbb{Z}} \simeq \mathbb{T}$ .

**Theorem**

*The Pontryagin dual of a compact (resp. discrete) abelian group is discrete (resp. compact.)*

Now, back to the main question...



Lev Pontryagin  
1908 - 1988

## What algebra related to a group $G$ should we study (and then deform)?

- $C_0(G)$ : functions on  $G$  with pointwise multiplication
  - $C^*$ -algebra, always commutative,
  - unital if and only if  $G$  is **compact** (then  $C_0(G) = C(G)$ ).
- $C_r^*(G)$ : (completion of)  $C_c(G)$  with convolution (=  $\mathbb{C}[G]$  if  $G$  is finite)
  - $C^*$ -algebra, commutative if and only if  $G$  is abelian,
  - unital if and only if  $G$  is **discrete**.

### Theorem (Fourier Analysis on Abelian Groups)

Let  $G$  be an abelian group. For  $f \in C_c(G)$  and  $\chi \in \widehat{G}$ , let

$$\widehat{f}(\chi) = \int_G f(g) \overline{\chi(g)} dg.$$

Then  $\widehat{f} \in C_0(\widehat{G})$  and the Fourier transformation  $f \mapsto \widehat{f}$  extends to an isomorphism

$$C_r^*(G) \simeq C_0(\widehat{G}).$$

If  $G$  is abelian,  $C_0(G)$  and  $C_r^*(G)$  are dual choices.

If  $G$  is non-abelian and non-compact,  $\widehat{G}$  is not a group...

## Goal for the rest of the talk

Describe a framework that accommodates algebras such as  $C_0(G)$  and  $C_r^*(G)$ , in which Fourier-Pontryagin duality is restored.

Neither  $G$  nor  $\widehat{G}$  will really exist, but they will be dual to each other.



First, let us characterize  $C_0(G)$  when  $G$  is a classical group.

## Two questions

- How does the algebraic structure of  $G$  manifest at the level of  $C_0(G)$ ?
- Can  $C_0(G)$  be described by generators and relations?

Let  $G$  be a group with identity  $e$ . The algebra  $C(G)$  carries:

- a **comultiplication**  $\Delta : C(G) \rightarrow C(G \times G)$ :

$$\Delta(f) : (g_1, g_2) \mapsto f(g_1 g_2)$$

- a **counit**  $\varepsilon : C(G) \rightarrow \mathbb{C}$ :

$$\varepsilon(f) = f(e)$$

- an **antipode**  $S : C(G) \rightarrow C(G)$ :

$$S(f) : g \mapsto f(g^{-1})$$

Since  $G$  is a group,  $\Delta$ ,  $\varepsilon$  and  $S$  satisfy:

- **Coassociativity**:

$$(\Delta \otimes \text{id}) \circ \Delta = (\text{id} \otimes \Delta) \circ \Delta \qquad f((g_1 g_2) g_3) = f(g_1 (g_2 g_3))$$

- **Counitality**:

$$(\varepsilon \otimes \text{id}) \circ \Delta = \text{id} = (\text{id} \otimes \varepsilon) \circ \Delta \qquad f(e \cdot) = f = f(\cdot e)$$

- **Coinvertibility**:

$$m \circ (S \otimes \text{id}) \circ \Delta = \eta \circ \varepsilon = m \circ (\text{id} \otimes S) \circ \Delta \qquad f(g^{-1} g) = f(e) = f(g g^{-1})$$

The algebraic structure of  $G$  is encoded in  $(C(G), \Delta, \varepsilon, \eta, S)$ .

Now assume that  $G$  is a compact (Lie) group (of unitary matrices):

$$G \subset U(n).$$

Consider the elementary matrix coefficient functions  $u_{ij} \in C(G)$ :

$$u_{ij} : \begin{bmatrix} g_{11} & \cdots & g_{1n} \\ & \ddots & \\ \vdots & & g_{ij} & \vdots \\ & & & \ddots & \\ g_{n1} & \cdots & & & g_{nn} \end{bmatrix} \mapsto g_{ij}$$

Facts:

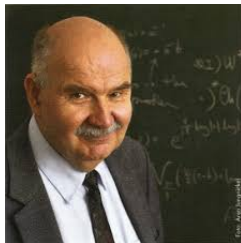
- The functions  $\{u_{ij}, 1 \leq i, j \leq n\}$  generate the  $C^*$ -algebra  $C(G)$ .
- They form a biunitary matrix  $u = [u_{ij}]$  in  $M_n(C(G))$ .
- $\Delta(u_{ij}) = \sum_k u_{ik} \otimes u_{kj}$ . It is a morphism  $\Delta : C(G) \rightarrow C(G) \otimes C(G)$ .
- $\varepsilon(u_{ij}) = \delta_{ij}$ . It is a morphism  $\varepsilon : C(G) \rightarrow \mathbb{C}$ .
- $S(u_{ij}) = \overline{u_{ji}} = u_{ji}^*$  defines a morphism  $S : C(G) \rightarrow C(G)^{\text{op}}$ .

## Woronowicz algebras

Characterization of  $\mathcal{G}(G)$  **Definition** (Woronowicz, 1987)

A *matrix quantum group* is a unital  $C^*$ -algebra  $\mathcal{A}$  with maps  $\Delta$ ,  $\varepsilon$  and  $S$  such that:

- $\mathcal{A}$  is generated by  $n^2$  elements  $\{u_{ij}, 1 \leq i, j \leq n\}$ .
- They form a biunitary matrix  $u = [u_{ij}]$  in  $M_n(\mathcal{A})$ .
- $\Delta(u_{ij}) = \sum_k u_{ik} \otimes u_{kj}$  defines a morphism  $\Delta : \mathcal{A} \rightarrow \mathcal{A} \otimes \mathcal{A}$ .
- $\varepsilon(u_{ij}) = \delta_{ij}$  defines a morphism  $\varepsilon : \mathcal{A} \rightarrow \mathbb{C}$ .
- $S(u_{ij}) = u_{ji}^*$  defines a morphism  $S : \mathcal{A} \rightarrow \mathcal{A}^{\text{op}}$ .



Recall the correspondence given by Gelfand theory:

$$\begin{array}{ccc} \text{Locally compact spaces} & \xleftrightarrow{\sim} & \text{Commutative } C^*\text{-algebras} \\ X & \longmapsto & C_0(X) \end{array}$$

Now we have:

$$\begin{array}{ccc} \text{Compact groups} & \longrightarrow & \text{Commutative Woronowicz algebras} \\ G & \longmapsto & C(G) \end{array}$$

$\leadsto$  Is this a one-to-one correspondence?

### Theorem

*Every commutative Woronowicz algebra is of the form  $C(G)$ , where  $G$  is a compact group.*

Proof: let  $\mathcal{A}$  be a commutative Woronowicz algebra. Since  $\mathcal{A}$  is unital, it has compact spectrum. Let  $G = \text{Sp}(\mathcal{A})$  and consider the embedding

$$\text{Sp}(\mathcal{A}) \ni \varphi \longmapsto [\varphi(u_{ij})] \in U(n).$$

Properties of  $\Delta$ ,  $\varepsilon$  and  $S$  show that the image of this embedding is a subgroup of  $U(n)$ .  $\square$



Are there interesting examples of noncommutative Woronowicz algebras?

Let  $\Gamma = \langle \gamma_1, \dots, \gamma_n \rangle$  be a finitely generated group and consider the associated  $C^*$ -algebra:

$$C^*(\Gamma) \stackrel{\text{dense}}{\supset} \mathbb{C}[\Gamma] \supset \Gamma.$$

It is a Woronowicz algebra with defining matrix:

$$u = \begin{bmatrix} \gamma_1 & & & \\ & \gamma_2 & & \\ & & \ddots & \\ & & & \gamma_n \end{bmatrix} \in M_n(C^*(\Gamma))$$

and structure maps defined by:

$$\Delta(\gamma) = \gamma \otimes \gamma \quad , \quad \varepsilon(\gamma) = 1 \quad , \quad S(\gamma) = \gamma^{-1}.$$

**Theorem**

*All co-commutative Woronowicz algebras are of the form  $C^*(\Gamma)$ .*

## General picture

Let  $\mathcal{A}$  be a Woronowicz algebra.

- If  $\mathcal{A}$  is **commutative**, it is of the form  $C(G)$  with  $G$  **compact** group.
- If  $\mathcal{A}$  is **co-commutative**, it is of the form  $C^*(\Gamma)$  with  $\Gamma$  **discrete** group.

With this in mind, if  $\mathcal{A}$  is an arbitrary Woronowicz algebra, we write

$$\mathcal{A} = C(G) = C^*(\Gamma)$$

and call  $G$  and  $\Gamma$  quantum groups.



### Remarks:

- This generalizes Pontryagin duality: the dual of a compact (resp. discrete) quantum group is a discrete (resp. compact) quantum group.
- The dense  $*$ -algebra of  $\mathcal{A}$  generated by the  $u'_{ij}$ 's generalizes  $C^\infty(G)$  if  $G$  is compact and  $\mathbb{C}[\Gamma]$  if  $\Gamma$  is discrete.

Thank you.

