

# Differential symmetry breaking operators for $(O(n+1, 1), O(n, 1))$ for differential forms

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AIM Research Community

June 10 , 2021

Based on a joint work with Toshiyuki Kobayashi and Michael Pevzner  
([Kobayashi–Kubo–Pevzner, [Lecture Notes in Math.](#), 2016])

# Differential symmetry breaking operators

## differential symmetry breaking operators (DSBOs)

- Symmetry breaking operators:

$$\begin{array}{ccc} G \curvearrowright X & \implies & G \curvearrowright C^\infty(X, \mathcal{V}) \\ \cup & & \cup \curvearrowright \quad \downarrow T \\ G' \curvearrowright Y & \implies & G' \curvearrowright C^\infty(Y, \mathcal{W}) \end{array}$$

Definition (T. Kobayashi)

differential symmetry breaking operators

=  $G'$ -intertwining differential operators  $T: C^\infty(X, \mathcal{V}) \rightarrow C^\infty(Y, \mathcal{W})$

Goal

Classify DSBOs with their explicit formulas in a specific setting.

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- ① Setting and Main Problems
- ② Main Results
- ③ F-method (algebraic Fourier transform of Verma modules)



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$$G := \text{Conf}(S^n) \quad \curvearrowright S^n \quad =: X$$

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$$C^\infty(X, \mathcal{V}) := \mathcal{E}^i(S^n) \quad (\text{the space of } i\text{-forms on } S^n)$$

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$$\varphi^* g_{\varphi(x)} = \Omega(\varphi, x)^2 g_x \quad (\varphi \in \text{Diffeo}(S^n), x \in S^n),$$

where  $\Omega$  : a positive-valued function in  $C^\infty(G \times S^n)$  (*conformal factor*)

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## Note:

$(\varpi_{u,\delta}^{(i)}, \mathcal{E}^i(S^n))$  : a p.s. representation of  $O(n+1, 1)$

$(\varpi_{v,\varepsilon}^{(j)}, \mathcal{E}^j(S^{n-1}))$  : a p.s. representation of  $O(n, 1)$



$$\mathcal{E}^i(S^n)_{u,\delta} \equiv (\varpi_{u,\delta}^{(i)}, \mathcal{E}^i(S^n)), \quad \mathcal{E}^j(S^{n-1})_{v,\varepsilon} \equiv (\varpi_{v,\varepsilon}^{(j)}, \mathcal{E}^j(S^{n-1}))$$

$\text{Diff}_{G'}(\mathcal{E}^i(S^n)_{u,\delta}, \mathcal{E}^j(S^{n-1})_{v,\varepsilon}) :=$  the space of differential SBOs

## Main Problems:

(1) Classify  $(i, j, u, v, \delta, \varepsilon)$  so that

$$\text{Diff}_{G'}(\mathcal{E}^i(S^n)_{u,\delta}, \mathcal{E}^j(S^{n-1})_{v,\varepsilon}) \neq \{0\}.$$

(2) Determine

$$\dim_{\mathbb{C}} \text{Diff}_{G'}(\mathcal{E}^i(S^n)_{u,\delta}, \mathcal{E}^j(S^{n-1})_{v,\varepsilon}).$$

(3) Construct

$$D \in \text{Diff}_{G'}(\mathcal{E}^i(S^n)_{u,\delta}, \mathcal{E}^j(S^{n-1})_{v,\varepsilon})$$

explicitly.

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## 2. Main Results I: Classification (Problems (1) and (2))

Theorem (Kobayashi–K–Pevzner, [Lecture Notes in Math., 2016])

For  $(i, j, u, v, \delta, \varepsilon)$  with  $n \geq 3$ , TFAE:

- (i)  $\text{Diff}_{G'}(\mathcal{E}^i(S^n)_{u,\delta}, \mathcal{E}^j(S^{n-1})_{v,\varepsilon}) \neq \{0\}$ ;
- (ii)  $\dim_{\mathbb{C}} \text{Diff}_{G'}(\mathcal{E}^i(S^n)_{u,\delta}, \mathcal{E}^j(S^{n-1})_{v,\varepsilon}) = 1$ ;
- (iii)  $\{j, n-1-j\} \cap \{i-2, i-1, i, i+1\} \neq \emptyset$  with some integral conditions for  $u, v$  and parity conditions for  $\delta, \varepsilon$ . (There are 12 cases.)

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Notes: The Hodge star operator reduces all the cases to  $j = i - 1, i + 1$ .

# Main Results II: Explicit Formula (Problem (3))

Representation theory:

$K$ -picture  $\implies N$ -picture

Conformal geometry:

Understand  $S^n$  as the conformal compactification of the flat Riemannian manifold  $\mathbb{R}^n$ .

$$\begin{array}{ccc} \mathcal{E}^i(S^n)_{u,\delta} & \longrightarrow & \mathcal{E}^j(S^{n-1})_{v,\epsilon} \\ \downarrow & & \downarrow \\ \mathcal{E}^i(\mathbb{R}^n) & \xrightarrow{\mathcal{D}^{i \rightarrow j}} & \mathcal{E}^j(\mathbb{R}^{n-1}) \end{array} \quad \begin{array}{c} S^n \\ \uparrow \text{dense} \\ \mathbb{R}^n \end{array}$$

## Cases: $j = i - 1$

For  $u \in \mathbb{C}$  and  $a \in \mathbb{N}_+$ , we set

$$\mathcal{D}_{u,a}^{i \rightarrow i-1} := \text{Rest}_{x_n=0} \circ \left( \mathcal{D}_{a-2}^{\mu+1} dd^* \iota_{\frac{\partial}{\partial x_n}} + p \mathcal{D}_{a-1}^{\mu+1} d^* - q \mathcal{D}_a^\mu \iota_{\frac{\partial}{\partial x_n}} \right)$$

with

- $p, q$ : appropriate constants,  $\mu := u + i - \frac{n-1}{2}$ ,
- $\mathcal{D}_{a-2}^{\mu+1}, \mathcal{D}_{a-1}^{\mu+1}, \mathcal{D}_a^\mu$ : scalar-valued differential operators of order  $a-2, a-1, a$ , respectively.

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On the scalar-valued differential operators  $\mathcal{D}_a^\mu$ :

- 1 These are polynomials of
  - $\Delta_{\mathbb{R}^{n-1}}$  (the Laplacian for the hyperplane  $\mathbb{R}^{n-1}$ ),
  - $\frac{\partial}{\partial x_n}$  (the normal derivative with respect to the hyperplane  $\mathbb{R}^{n-1}$ )

with degree  $a$ .

- 2 The coefficients of them coincide with those for [Gegenbauer polynomials](#).

$\tilde{\mathcal{D}}_{u,a}^{i \rightarrow i-1} :=$  renormalized operator such that  $\tilde{\mathcal{D}}_{u,a}^{i \rightarrow i-1} \neq 0$  for any  $(i, a, u)$ .

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- $a = 1 - u$  with  $u = 0$  ( $1 \leq i \leq n - 2$ )
- $a = 1 - u$  with  $u \in -\mathbb{N}$  ( $i = 0$ )

Theorem (Kobayashi–K–Pevzner, [Lecture Notes in Math., 2016])

The following hold:

- 1 The differential operator  $\tilde{\mathcal{D}}_{u,a}^{i \rightarrow i \pm 1}$  can be extended to  $S^n$  and the extended differential operator is an SBO.
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# Brief outline of the proofs of the main results

- 1 Reformulate the main problems ([conformal geometry](#)) to ones in [representation theory](#).
- 2 Use the [F-method](#) (cf. [Kobayashi '13], [Kobayashi–Pevzner '16])
  - The “F” for the F-method stands for the “*Fourier transform*” .  
(The algebraic Fourier transform of the Verma modules)
  - We enhanced the F-method to the [vector-valued case](#).
- 3 Interpret the results back in conformal geometry.

## Remark:

Fischmann–Juhl–Somberg also independently classified the DSBOs for differential forms for  $(SO_0(n+1, 1), SO_0(n, 1))$  (AMS Memoirs, 2020).

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### 3. F-method (cf. Kobayashi–Pevzner, 2016)

#### Naive idea for the F-method

The F-method is a technique to classify and also construct

$$D \in \text{Diff}_{G'}(\mathcal{V}_X, \mathcal{W}_Y)$$

by solving a system of PDEs via the [algebraic Fourier transform](#).

#### Theorem (Kobayashi–Pevzner '16)

There exist natural linear isomorphisms

$$\text{Sol}(\text{PDE}) \xleftarrow{\sim} \text{Hom}(\text{Verma modules}) \xrightarrow{\sim} \text{Diff}_{G'}(\mathcal{V}_X, \mathcal{W}_Y).$$

- $\text{Sol}(\text{PDE})$ : the space of poly. solutions to a system of certain PDEs

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# Duality theorem ( $\text{Hom} \xrightarrow{\sim} \text{Diff}$ )

$$\begin{array}{ccc} G \supset H & \implies & G/H := X \\ \cup & & \cup \\ G' \supset H' & \implies & G'/H' := Y \end{array}$$

- $V, W$ : f.d. representations of  $H$  and  $H'$ , resp.

- $\mathcal{V}_X := G \times_H V \rightarrow X, \quad \mathcal{W}_Y := G' \times_{H'} W \rightarrow Y,$

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Remarks:

- The duality theorem is known when  $G' = G$  and  $H' = H = \text{Borel}$ , especially in the setting of complex flag varieties.

cf.

- Kostant ('75)
- Harris–Jakobsen ('82)

## Duality theorem (Kobayashi–Pevzner '16)

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# Steps for the F-method ( $\text{Sol} \xrightarrow{\sim} \text{Hom}$ )

Preparation:

- (1) Algebraic Fourier transform  $\widehat{\cdot}$  of the Weyl algebra
- (2) Lie algebra homomorphism  $\widehat{d\pi}_\mu$
- (3) Algebraic Fourier transform  $F_c$  of Verma modules

Then

- (4) F-method ( $\text{Sol} \xrightarrow{\sim} \text{Hom}$ )

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# (1) Algebraic Fourier transform of the Weyl algebra

- $V$ : f.d. vector space over  $\mathbb{C}$  with  $\dim_{\mathbb{C}} V = n$
- $(z_1, \dots, z_n)$ : coordinate for  $V$
- $\mathcal{D}(V) := \mathbb{C}[z_1, \dots, z_n, \frac{\partial}{\partial z_1}, \dots, \frac{\partial}{\partial z_n}]$ : the Weyl algebra of  $V$

## Definition

The *algebraic Fourier transform of  $\mathcal{D}(V)$*  is an algebra isomorphism

$$\mathcal{D}(V) \xrightarrow{\sim} \mathcal{D}(V^\vee), \quad T \mapsto \widehat{T}$$

induced by

$$\widehat{\frac{\partial}{\partial z_\ell}} := -\zeta_\ell, \quad \widehat{z_\ell} := \frac{\partial}{\partial \zeta_\ell}, \quad 1 \leq \ell \leq n.$$

$$\text{Ex: } E_z = \sum_{j=1}^n z_j \frac{\partial}{\partial z_j} \implies \widehat{E_z} = -E_\zeta - n$$

► Summary

## (2) Lie algebra homomorphism $\widehat{d\pi_\mu}$

- $G$  : real reductive Lie group  
 $U$   $\implies G/P =: X$   
 $P = MAN_+$  : parabolic subgroup of  $G$
- $\mathfrak{g}(\mathbb{R}) = \mathfrak{n}_-(\mathbb{R}) + \mathfrak{m}(\mathbb{R}) + \mathfrak{a}(\mathbb{R}) + \mathfrak{n}_+(\mathbb{R})$
- $\mathfrak{g} := \mathfrak{g}(\mathbb{R}) \otimes_{\mathbb{R}} \mathbb{C}$
- $(\lambda, V)$ : f.d. representation of  $P$
- $\mathcal{V}_X := G \times_P V \rightarrow X$
- $\pi_\lambda := \text{Ind}_P^G(\lambda) \curvearrowright C^\infty(X, \mathcal{V}_X)$
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- $(\lambda, V)$ : f.d. representation of  $P$
- $\mathcal{V}_X := G \times_P V \rightarrow X$
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- $d\pi_\lambda$ : infinitesimal representation of  $\pi_\lambda$

## (2) Lie algebra homomorphism $\widehat{d\pi_\mu}$

- $G$  : real reductive Lie group  
 $\cup$   $P = MAN_+$  : parabolic subgroup of  $G$   $\implies G/P =: X$
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- $\mathfrak{n}_-(\mathbb{R}) \simeq N_- \hookrightarrow G/P = X$
- $C^\infty(X, \mathcal{V}_X) \hookrightarrow C^\infty(\mathfrak{n}_-(\mathbb{R})) \otimes V$
- $\mathfrak{g} \xrightarrow{d\pi_\lambda} C^\infty(\mathfrak{n}_-(\mathbb{R})) \otimes V$

$$d\pi_\lambda: \mathfrak{g} \longrightarrow \mathcal{D}(\mathfrak{n}_-) \otimes \text{End}(V)$$

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In later applications, we use  $\widehat{d\pi_\mu}$ :

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### (3) Algebraic Fourier transform $F_c$ of Verma modules

- $\widehat{d\pi_\mu}(C) \curvearrowright \text{Pol}(\mathfrak{n}_+) \otimes V^\vee, \quad C \in \mathfrak{g}$
- $\text{ind}_{\mathfrak{p}}^{\mathfrak{g}}(V^\vee) = U(\mathfrak{g}) \otimes_{U(\mathfrak{p})} V^\vee$

Theorem (Kobayashi–Pevzner '16)

There exists a  $(\mathfrak{g}, P)$ -module isomorphism:

$$F_c: \text{ind}_{\mathfrak{p}}^{\mathfrak{g}}(V^\vee) \xrightarrow{\sim} \text{Pol}(\mathfrak{n}_+) \otimes V^\vee$$
$$u \otimes v^\vee \mapsto \widehat{d\pi_\mu}(u)(1 \otimes v^\vee)$$

Definition

We call  $F_c$  the *algebraic Fourier transform of Verma modules*.

Recall that

$$\text{Sol(PDE)} \xleftarrow{\sim} \text{Hom}(\text{Verma modules}) \xrightarrow{\sim} \text{Diff}_{G'}(\mathcal{V}_X, \mathcal{W}_Y)$$

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- $G \supset G'$  : real reductive subgroup of  $G$   
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Theorem (Kobayashi–Pevzner '16)

There exists a natural isomorphism

$$F_C \otimes \text{id}_W : \text{Hom}_{\mathfrak{g}', P'}(\text{ind}_{\mathfrak{p}'}^{\mathfrak{g}'}(W^\vee), \text{ind}_{\mathfrak{p}}^{\mathfrak{g}}(V^\vee)) \xrightarrow{\sim} \text{Sol}(\mathfrak{n}_+; V, W).$$

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# Summary

In summary we have the following:

$$\begin{array}{ccc} & \text{Sol}(\mathfrak{n}_+; V, W) & \\ & \nearrow^{F_c \otimes \text{id}} & \dashrightarrow \\ \text{Hom}_{\mathfrak{g}', \rho'}(\text{ind}_{\mathfrak{p}'}^{\mathfrak{g}'}(W^\vee), \text{ind}_{\mathfrak{p}}^{\mathfrak{g}}(V^\vee)) & \xrightarrow[\sim]{D_{X \rightarrow Y}} & \text{Diff}_{G'}(\mathcal{V}_X, \mathcal{W}_Y). \end{array}$$

Note: It is not necessary for  $\mathfrak{n}_+$  to be abelian.

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Note: It is not necessary for  $\mathfrak{n}_+$  to be abelian.

# The case: $\mathfrak{n}_+$ is abelian.

## Theorem (Kobayashi–Pevzner '16)

Suppose that  $\mathfrak{n}_+$  is **abelian**. Then there exists a natural isomorphism

$$\text{Rest}_Y \circ \text{Symb}^{-1}: \text{Sol}(\mathfrak{n}_+; V, W) \xrightarrow{\sim} \text{Diff}_{G'}(\mathcal{V}_X, \mathcal{W}_Y).$$

Moreover the following diagram commutes:

$$\begin{array}{ccc} & \text{Sol}(\mathfrak{n}_+; V, W) & \\ \nearrow^{F_c \otimes \text{id}} & & \searrow^{\text{Rest}_Y \circ \text{Symb}^{-1}} \\ \text{Hom}_{\mathfrak{g}', \rho'}(\text{ind}_{\mathfrak{p}'}^{\mathfrak{g}'}(W^\vee), \text{ind}_{\mathfrak{p}}^{\mathfrak{g}}(V^\vee)) & \xrightarrow[\sim]{D_{X \rightarrow Y}} & \text{Diff}_{G'}(\mathcal{V}_X, \mathcal{W}_Y) \end{array}$$

○

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Thank you for your attention!