

Spectral theory of automorphic forms

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Connections with

- ▶ Representation theory of reductive groups over local and global fields.
- ▶ Partial differential equations on locally symmetric spaces.
- ▶ Number theory.

1. Automorphic forms, automorphic representations

Basic set up

- ▶ G connected semisimple Lie group, non-compact type.
- ▶ H Lie group, $\Pi(H)$ equivalence classes of irreducible unitary representations of H .
- ▶ $K \subset G$ maximal compact subgroup,
- ▶ $\tilde{X} = G/K$ Riemannian symmetric space.
- ▶ $\Gamma \subset G$ lattice, i.e., discrete subgroup with $\text{vol}(\Gamma \backslash G) < \infty$.
- ▶ $X = \Gamma \backslash \tilde{X}$ locally symmetric space, manifold if Γ is torsion free.
- ▶ $\mathfrak{g} = \text{Lie}(G)$, $\mathcal{Z}(\mathfrak{g}_{\mathbb{C}})$ center of the universal enveloping algebra of $\mathfrak{g} \otimes \mathbb{C}$.
- ▶ $\Omega \in \mathcal{Z}(\mathfrak{g}_{\mathbb{C}})$ Casimir element.

Automorphic forms

- ▶ $\phi \in C^\infty(\Gamma \backslash G)$ is an **automorphic form**, if ϕ is K -finite, $\mathcal{Z}(\mathfrak{g}_{\mathbb{C}})$ -finite, and of moderate growth.

Example. $\phi \in L^2(\Gamma \backslash G)^K \cong L^2(\Gamma \backslash \tilde{X})$, joint eigenfunction of $\mathcal{Z}(\mathfrak{g}_{\mathbb{C}})$.

Cusp forms

- ▶ $P \subset G$ parabolic subgroup, $P = M_P A_P N_P$, M_P reductive, $A_P \cong (\mathbb{R}^+)^k$, N_P nilpotent.
- ▶ P is called **cuspidal parabolic**, if $(\Gamma \cap N_P) \backslash N_P$ is compact.
- ▶ $\phi \in C^\infty(\Gamma \backslash G)$ automorphic form, ϕ is called **cusp form**, if

$$\int_{(\Gamma \cap N_P) \backslash N_P} f(ng) dn = 0$$

for all proper cuspidal parabolic subgroups P of G .

Representation theory

- ▶ R_Γ right regular representation of G in $L^2(\Gamma \backslash G)$:

$$(R_\Gamma(g)f)(g') = f(g'g), \quad f \in L^2(\Gamma \backslash G).$$

- ▶ **Theory of Eisenstein series** implies decomposition in invariant subspaces

$$L^2(\Gamma \backslash G) = L_{\text{dis}}^2(\Gamma \backslash G) \oplus L_{\text{ac}}^2(\Gamma \backslash G)$$

- ▶ $L_{\text{dis}}^2(\Gamma \backslash G)$ maximal invariant subspace, spanned by irreducible subrepresentations,

$$R_{\Gamma, \text{dis}} \cong \widehat{\bigoplus_{\pi \in \Pi(G)} m_\Gamma(\pi) \pi}.$$

- ▶ $m_\Gamma(\pi) = \dim \text{Hom}_G(\pi, R_\Gamma) = \dim \text{Hom}_G(\pi, R_{\Gamma, \text{dis}})$.
- ▶ $m_\Gamma(\pi) < \infty$ for all $\pi \in \Pi(G)$.

Main problem: Study of the multiplicities $m_{\Gamma}(\pi)$.

- ▶ Apart from special cases, one cannot hope to describe $m_{\Gamma}(\pi)$ explicitly.
- ▶ There exist formulas for $m_{\Gamma}(\pi)$ if π is a discrete series representation.

Further problems

- ▶ Generalized Ramanujan conjecture.
- ▶ Residual spectrum: $L_{\text{cus}}^2(\Gamma \backslash G) \subset L_{\text{dis}}^2(\Gamma \backslash G)$ subspace of cusps forms.

$$L_{\text{dis}}^2(\Gamma \backslash G) = L_{\text{cus}}^2(\Gamma \backslash G) \oplus L_{\text{res}}^2(\Gamma \backslash G)$$

- ▶ $L_{\text{res}}^2(\Gamma \backslash G)$ residual subspace.
- ▶ Mœglin, Waldspurger: Determination of the residual spectrum for $G = \text{GL}(n)$.
- ▶ What can be said about the residual spectrum for general G ?

2. Asymptotic behavior of automorphic spectra

- ▶ Study behavior of multiplicities with respect to the growth of various parameters such as the infinitesimal character or/and the level of congruence subgroups.

Examples:

a) Weyl law.

- ▶ For $\sigma \in \Pi(K)$ let

$$\Pi(G; \sigma) = \{ \pi \in \Pi(G) : [\pi|_K : \sigma] > 0 \}.$$

- ▶ $\lambda_\pi = \pi(\Omega)$ Casimir eigenvalue of $\pi \in \Pi(G)$.

$$N_\Gamma(\lambda; \sigma) = \sum_{\substack{\pi \in \Pi(G; \sigma) \\ |\lambda_\pi| \leq \lambda}} m_\Gamma(\pi).$$

Problem: Behavior of $N_\Gamma(\lambda; \sigma)$ as $\lambda \rightarrow \infty$.

Equivalent problem:

- ▶ $\sigma = 1$, $\tilde{X} = G/K$, $X = \Gamma \backslash \tilde{X}$,
 $L^2(\Gamma \backslash G)^K \cong L^2(\Gamma \backslash G/K) = L^2(X)$, $\Delta: C^\infty(X) \rightarrow C^\infty(X)$
Laplace operator.

Lemma (Kuga): $-R(\Omega) = \Delta$.

- ▶ $0 < \lambda_1 \leq \lambda_2 \leq \dots$ eigenvalues of Δ in $L^2(X)$.
- ▶ $N_\Gamma(\lambda) = \#\{j: \lambda_j \leq \lambda\}$

Problem: Study behavior of $N_\Gamma(\lambda)$ as $\lambda \rightarrow \infty$.

b) **Limit multiplicity problem.**

- ▶ Let $\Pi(G)$ be equipped with the Fell topology.

Define a measure on $\Pi(G)$ by

$$\mu_\Gamma = \frac{1}{\text{vol}(\Gamma \backslash G)} \sum_{\pi \in \Pi(G)} m_\Gamma(\pi) \delta_\pi,$$

where δ_π is the delta distribution.

Problem: Study the behavior of μ_Γ as $\text{vol}(\Gamma \backslash G) \rightarrow \infty$.

- ▶ Distribution of Hecke eigenvalues
- ▶ Sato-Tate conjecture for modular forms.
- ▶ Analytic torsion, Approximation of L^2 -invariants
- ▶ Families of automorphic forms (Sarnak).

2. Conjectures and results

a) Wely law

i) Γ cocompact. $n = \dim X$. Then the following Weyl law holds

$$N_{\Gamma}(\lambda; \sigma) = \frac{\dim(\sigma) \operatorname{vol}(\Gamma \backslash G)}{(4\pi)^{n/2} \Gamma(n/2 + 1)} \lambda^{n/2} + O(\lambda^{(n-1)/2}), \quad \lambda \rightarrow \infty.$$

▶ $\sigma = 1$: Avakumović, Hörmander: general elliptic operators.

ii) $\Gamma \backslash G$ non-compact:

Problem: Nonempty continuous spectrum.

▶ Continuous spectrum is described by Eisenstein series.

Arithmetic groups.

Let $\mathbf{G} \subset \mathrm{GL}_n$ be a semisimple algebraic group over \mathbb{Q} . $\Gamma \subset \mathbf{G}(\mathbb{Q})$ is called **arithmetic**, if Γ is commensurable to $\mathbf{G}(\mathbb{Q}) \cap \mathrm{GL}_n(\mathbb{Z})$.

Example: Principal congruence subgroup of $\mathrm{SL}(2, \mathbb{Z})$:

$$\Gamma(N) := \left\{ \gamma \in \mathrm{SL}(2, \mathbb{Z}) : \gamma \equiv \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \pmod{N} \right\}$$

Theorem (Margulis): Let $\mathrm{rank}_{\mathbb{R}} G > 1$ and $\Gamma \subset G$ an irreducible lattice. Then Γ is arithmetic.

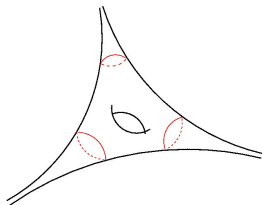
Theorem (Serre): Let $n \geq 3$. Every arithmetic subgroup $\Gamma \subset \mathrm{SL}(n, \mathbb{Z})$ contains a principal congruence subgroup.

Conjecture (Sarnak): Weyl law holds for congruence subgroups or, more generally, arithmetic groups.

Results.

a) **The real rank one case.** Selberg 1954,

- ▶ $X = \Gamma \backslash \mathbb{H}$, $\Gamma \subset \mathrm{SL}(2, \mathbb{R})$ lattice.
- ▶ X is a hyperbolic surface of finite area.



- ▶ $\Delta: C^\infty(X) \rightarrow C^\infty(X)$ Laplace operator, essentially selfadjoint in $L^2(X)$,
- ▶ Continuous spectrum of Δ : $[1/4, \infty)$.
- ▶ $0 = \lambda_0 < \lambda_1 \leq \lambda_2 \leq \dots$ eigenvalues.

- ▶ Eigenvalues $\lambda_j \geq 1/4$ embedded in the continuous spectrum, unstable w.r.t. perturbations, difficult to study.

Eisenstein series. $\Gamma = \mathrm{SL}(2, \mathbb{Z})$,

$$E(z, s) = \sum_{\gamma \in \Gamma_\infty \backslash \Gamma} \mathrm{Im}(\gamma(z))^s = \sum_{\substack{m, n \in \mathbb{Z} \\ (m, n) = 1}} \frac{\mathrm{Im}(z)^s}{|mz + n|^{2s}}, \quad \mathrm{Re}(s) > 1.$$

- ▶ $E(z, s)$ admits meromorphic extension to \mathbb{C} , holomorphic for $\mathrm{Re}(s) = 1/2$.
- ▶ $\Delta E(z, s) = s(1 - s)E(z, s)$
- ▶ $\int_0^1 E(x + iy, s) dx = y^s + c(s)y^{1-s}$
- ▶ $c(s)$ "scattering matrix".

Heat equation method: Apply **Selberg trace formula** to $e^{-t\Delta}$. As $t \rightarrow 0$ we get

$$\sum_j e^{-t\lambda_j} - \frac{1}{4\pi} \int_{\mathbb{R}} e^{-(1/4+r^2)t} \frac{c'}{c}(1/2 + ir) dr \sim \frac{\mathrm{vol}(X)}{4\pi} t^{-1}$$

Application of **Karamata's Theorem** gives

$$N_{\Gamma}(\lambda) - \frac{1}{4\pi} \int_{-\sqrt{\lambda}}^{\sqrt{\lambda}} \frac{c'}{c}(1/2 + ir) dr \sim \frac{\text{vol}(X)}{4\pi} \lambda$$

as $\lambda \rightarrow \infty$.

- ▶ Similar for any $\Gamma \subset \text{SL}(2, \mathbb{R})$.
- ▶ $\Gamma = \text{SL}(2, \mathbb{Z})$: Then the scattering matrix is given by

$$c(s) = \sqrt{\pi} \frac{\Gamma(s - 1/2)\zeta(2s - 1)}{\Gamma(s)\zeta(2s)},$$

where $\zeta(s)$ is the Riemann zeta function.

- ▶ For $\Gamma(N)$, coefficients of the scattering matrix $C(s)$ are given by fractions of Dirichlet L -functions $L(s, \chi)$.
- ▶ Using standard estimates of $L(s, \chi)$ and $\Gamma(s)$, it follows that the growth of the winding number of $c(s)$ is of lower order.

Theorem (Selberg):

$$N_{\Gamma(N)}(\lambda) \sim \frac{\text{Area}(X)}{4\pi} \lambda^2, \quad \lambda \rightarrow \infty.$$

b) Higher rank.

- ▶ Selberg trace formula is replaced by the Arthur trace formula.

The Weyl law holds in the following cases:

i) $\sigma = 1$, no estimation of the remainder term.

- ▶ S. Miller: $X = \text{SL}(3, \mathbb{Z}) \backslash \text{SL}(3, \mathbb{R}) / \text{SO}(3)$.
- ▶ E. Lindenstrauss, A. Venkatesh: $\Gamma \subset G$ congruence subgroup. Use Hecke operators.

ii) $\sigma \in \Pi(K)$ arbitrary, no estimation of the remainder term.

- ▶ Mü.: $\Gamma(N) \subset \text{SL}(n, \mathbb{Z})$ principal congruence subgroup of level N , $X = \Gamma(N) \backslash \text{SL}(n, \mathbb{R}) / \text{SO}(n)$.

In progress. Let \mathbf{G} be one of the following algebraic groups:

1. Inner form of $GL(n)$ or $SL(n)$.
2. Symplectic, special orthogonal or unitary group.

Let $\Gamma \subset \mathbf{G}(\mathbb{R})$ be a congruence subgroup. Then the Weyl law holds for $\Gamma \backslash \mathbf{G}(\mathbb{R})/K$.

- ▶ Main ingredient of the proof: Study of the automorphic L -functions $L(\pi, s)$, $\pi \in \Pi_{\text{cus}}(\mathbf{M}(\mathbb{A}))$, \mathbf{M} Levi subgroup of \mathbf{G} , that appear in the constant terms of Eisenstein series.
- ▶ J. Arthur: Endoscopic classification of representations of classical groups.

iii) $\sigma = 1$, estimation of the remainder term.

Let $S_n = \mathrm{SL}(n, \mathbb{R}) / \mathrm{SO}(n)$, $n \geq 2$, and let $\Gamma \subset \mathrm{SL}(n, \mathbb{R})$ be a congruence subgroup. Let $0 = \lambda_0 < \lambda_1 \leq \lambda_2 \leq \dots$ be the eigenvalues of Δ_Γ in $L^2(\Gamma \backslash S_n)$.

$$N_\Gamma(\lambda) = \#\{j: \lambda_j \leq \lambda^2\}.$$

Thorem 2 (Lapid, Mü.): Let $d = \dim S_n$, $N \geq 3$. Then

$$N_{\Gamma(N)}(\lambda) = \frac{\mathrm{Vol}(\Gamma(N) \backslash S_n)}{(4\pi)^{d/2} \Gamma(d/2 + 1)} \lambda^d + O(\lambda^{d-1} (\log \lambda)^{\max(n, 3)})$$

as $\lambda \rightarrow \infty$.

Theorem 3 (Finis, Lapid, 2019): Let G be a simply connected, simple Chevalley group. Then there exists $\delta > 0$ such that for any congruence subgroup Γ of $G(\mathbb{Z})$ one has

$$N_{X, \text{cus}}(\lambda) = \frac{\text{vol}(X)}{(4\pi)^{d/2} \Gamma(\frac{d}{2} + 1)} \lambda^d + O_{\Gamma}(\lambda^{d-\delta}), \quad \lambda \geq 1,$$

where $X = \Gamma \backslash G(\mathbb{R})/K$, $d = \dim(X)$.

b) Limit multiplicities

- ▶ μ_{PL} Plancherel measure on $\Pi(G)$, support of μ_{PL} is the tempered dual $\Pi_{\text{temp}}(G)$.
- ▶ Up to a closed subset of Plancherel measure zero, the topological space $\Pi_{\text{temp}}(G)$ is homeomorphic to a countable union of Euclidian spaces of bounded dimension.
- ▶ Under this homeomorphism, the Plancherel density is given by a continuous function.
- ▶ A relatively quasi-compact subset of $\Pi(G)$ is called bounded.
- ▶ $\Gamma = \Gamma_1 \supset \Gamma_2 \supset \cdots \supset \Gamma_j \supset \cdots$ tower of normal subgroups of finite index, $\bigcap_j \Gamma_j = \{e\}$.

$$\mu_j = \frac{1}{\text{vol}(\Gamma_j \backslash G)} \sum_{\pi \in \Pi(G)} m_{\Gamma_j}(\pi) \delta_{\pi}.$$

Conjecture: $\mu_j \rightarrow \mu_{PL}$ as $j \rightarrow \infty$.

Results:

a) $\Gamma \subset G$ uniform lattice.

De George-Wallach, Delorme: Answer affirmative.

b) $\Gamma \subset G$ non-uniform lattice.

Discrete series:

- ▶ Clozel, 1986, Spohn, Rohlfs 1987: positive lower bounds

$$\limsup_{j \rightarrow \infty} \frac{m_{\Gamma_j}(\pi)}{\text{vol}(\Gamma_j \backslash G)} \geq d_\pi > 0,$$

$\pi \in \Pi_d(G)$ (discrete series), d_π formal degree of π , under some restrictions.

- ▶ Savin: $\pi \in \Pi_d(G)$, $d(\pi)$ formal degree.

$$\lim_{j \rightarrow \infty} \mu_j(\{\pi\}) = d_\pi.$$

Theorem (Finis, Lapid, Mü.), 2014: Let $G = \mathrm{SL}(n, \mathbb{R})$ and $\Gamma_n(N) \subset \mathrm{SL}(n, \mathbb{Z})$ the principal congruence subgroup of level N . Let $\mu_N := \mu_{\Gamma_n(N)}$.

1) For every Jordan measurable set $A \subset \Pi_{\mathrm{temp}}(G)$ we have

$$\mu_N(A) \rightarrow \mu_{PL}(A), \quad N \rightarrow \infty.$$

2) For every bounded subset $A \subset \Pi(G) \setminus \Pi_{\mathrm{temp}}(G)$ we have

$$\mu_N(A) \rightarrow 0, \quad N \rightarrow \infty.$$

Theorem (Finis, Lapid), 2018. Let F be a number field and \mathcal{O}_F the ring of integers. The limit multiplicity holds for the family of all congruence subgroups of $\mathrm{SL}(n, \mathcal{O}_F)$.

► Results for quasi-split classical groups over a number field.

3. Approximation of L^2 -invariants

Spectral invariants: X compact manifold. α invariant defined in terms of the spectrum of geometric differential operators such as the Laplace operator Δ_k on k -forms.

Examples:

- ▶ Betti number $b_k(X) = \dim \ker \Delta_k$,
- ▶ $\text{Sign}(X) = \text{Ind}(D_{\text{sign}})$, $D_{\text{sign}} := d + d^* : \Lambda_+^*(X) \rightarrow \Lambda_-^*(X)$ signature operator.
- ▶ Analytic torsion $T_X \in \mathbb{R}^+$

$$\log T_X = \frac{1}{2} \sum_{p=1}^n (-1)^p p \frac{d}{ds} \zeta_p(s) \Big|_{s=0}.$$

where

$$\zeta_p(s) = \frac{1}{\Gamma(s)} \int_0^\infty (\text{Tr}(e^{-t\Delta_p}) - b_p) t^{s-1} dt, \quad \text{Re}(s) > n/2.$$

L^2 -invariants

Atiyah, 1976: L^2 -index theorem, L^2 -Betti numbers,

Lott, Mathai, 1992: L^2 -torsion, Lück, 2004 : L^2 -invariants.

- ▶ $\tilde{X} \rightarrow X$ universal covering of X , $\Gamma := \pi_1(X)$, $d\tilde{\mu}$ Γ -invariant measure on \tilde{X} .
- ▶ **Assume:** \tilde{X} is noncompact, i.e., Γ infinite.
- ▶ $\ell^2(\Gamma)$ Hilbert space completion of $\mathbb{C}[\Gamma]$.
- ▶ Left action of Γ on $\ell^2(\Gamma)$, $L(\gamma): \ell^2(\Gamma) \rightarrow \ell^2(\Gamma)$, $\gamma \in \Gamma$.
- ▶ **Group von Neumann algebra:** $\mathcal{N}(\Gamma) := \mathcal{B}(\ell^2(\Gamma))^\Gamma$.
- ▶ **von Neumann trace:** $\text{tr}_{\mathcal{N}(\Gamma)}: \mathcal{N}(\Gamma) \rightarrow \mathbb{C}$,

$$\text{tr}_{\mathcal{N}(\Gamma)}(A) := \langle A(e), e \rangle_{\ell^2(\Gamma)}.$$

- ▶ $\mathcal{B}(L^2\Lambda^p(\tilde{X}))^\Gamma$ von Neumann algebra.
- ▶ $F \subset \tilde{X}$ fundamental domain for the action of Γ on \tilde{X} .
- ▶ $L^2\Lambda^p(\tilde{X}) \cong \ell^2(\Gamma) \otimes L^2\Lambda^p(F)$.

- ▶ $\mathcal{B}(L^2\Lambda^p(\tilde{X}))^\Gamma \cong \mathcal{N}(\Gamma) \otimes \mathcal{B}(L^2\Lambda^p(F))$.
- ▶ $\text{Tr}_\Gamma: \mathcal{N}(\Gamma) \otimes \mathcal{B}(L^2\Lambda^p(F)) \rightarrow \mathbb{C}$ constructed from $\text{tr}_{\mathcal{N}(\Gamma)}$ on $\mathcal{N}(\Gamma)$ and the ordinary trace on $\mathcal{B}(L^2\Lambda^p(F))$, Tr_Γ possibly infinite.
- ▶ $H \subset L^2\Lambda^p(\tilde{X})$ Γ -invariant subspace, $P \in \mathcal{B}(L^2\Lambda^p(\tilde{X}))^\Gamma$ orthogonal projection onto H . Define

$$\dim_\Gamma H := \text{Tr}_\Gamma(P).$$

- ▶ Replace **dim** by **dim $_\Gamma$** and **Tr** by **Tr $_\Gamma$** .

Assume that $\Gamma = \pi_1(X)$ is residual finite: There exists a tower

$$\Gamma \supset \Gamma_1 \supset \Gamma_2 \supset \cdots \supset \Gamma_N \supset \cdots$$

of normal subgroups Γ_k of Γ of finite index with $\bigcap_{k=1}^\infty \Gamma_k = \{1\}$.

- ▶ Put $X_k := \Gamma_k \backslash \tilde{X}$, $k \in \mathbb{N}$. $X_k \rightarrow X$ finite normal covering.
- ▶ Let α be a spectral invariant.

Problem: Does $\frac{\alpha(X_k)}{[\Gamma : \Gamma_k]}$ converge as $k \rightarrow \infty$ and if so, what is the limit?

- ▶ A natural candidate for the limit is the L^2 -invariant $\alpha^{(2)}$.

Examples:

Γ -index theorem: $D: C^\infty(X, E) \rightarrow C^\infty(X, F)$ elliptic. D_k lift of D to X_k . Atiyah's L^2 -index theorem implies

$$\frac{\text{Ind}(D_k)}{[\Gamma : \Gamma_k]} = \text{Ind}(D) = \text{Ind}_\Gamma(\tilde{D}).$$

Theorem (Lück, 1994):

$$\lim_{k \rightarrow \infty} \frac{b_p(X_k)}{[\Gamma : \Gamma_k]} = b_p^{(2)}(X).$$

Conjecture 1: Let $X = \Gamma \backslash \mathbb{H}^d$ be a closed oriented hyperbolic manifold of dimension $d = 2n + 1$. Let $\{\Gamma_j\}_{j \in \mathbb{N}}$ a tower of normal subgroups of Γ . Then

$$\lim_{j \rightarrow \infty} \frac{\log T_{X_j}}{[\Gamma : \Gamma_j]} = \log T_X^{(2)} = \text{vol}(X) \cdot t_{\mathbb{H}^d}^{(2)}.$$

Conjecture 2 (Bergeron, Vankatesh):

$$\lim_{j \rightarrow \infty} \frac{\log |H_n(X_j, \mathbb{Z})_{\text{tors}}|}{[\Gamma : \Gamma_j]} = (-1)^n \log T_X^{(2)}.$$