

# $K$ -theory of $C^*$ -algebras

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# Overview

Idea: study singular (e.g. non-Hausdorff) topological spaces through noncommutative  $C^*$ -algebras “associated to them”.

To do this, generalise tools from algebraic topology to  $C^*$ -algebras.

This works well for  $K$ -theory.

# I Topological $K$ -theory

# Topological $K$ -theory of compact spaces

Let  $X$  be a compact Hausdorff space.

## Definition

The **topological  $K$ -theory** of  $X$  is the abelian group  $K^0(X)$  generated by the isomorphism classes of complex vector bundles on  $X$ , with the relation

$$[E \oplus F] \sim [E] + [F].$$

So

$$K^0(X) = \{[E] - [F]; E, F \rightarrow X \text{ complex vector bundles}\}.$$

# The $K$ -theory of a point

If  $X = *$  is a point, then

$$K^0(*) = \{[\mathbb{C}^m] - [\mathbb{C}^n]; m, n \in \mathbb{Z}_{\geq 0}\} \cong \mathbb{Z}.$$

# The Serre-Swan theorem

## Theorem (Serre-Swan)

*If  $E \rightarrow X$  is a complex vector bundle over a compact Hausdorff space  $X$ , then there is a vector bundle  $E' \rightarrow X$  such that for some  $n$ ,*

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So  $\Gamma(E)$  is a **finitely generated projective  $C(X)$ -module**:

$$\Gamma(E) \oplus \Gamma(E') = C(X)^n.$$

Conversely, every finitely generated projective  $C(X)$ -module is of this form. And for two vector bundles  $E, F \rightarrow X$ ,

$$E \cong F \iff \Gamma(E) \cong \Gamma(F).$$



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So

$$K^0(X) = \{[M] - [N]; M, N \text{ finitely generated projective } C(X)\text{-modules}\}.$$

# Projections

Let  $E \rightarrow X$  be a complex vector bundle over a compact Hausdorff space  $X$ , and  $E' \rightarrow X$  such that  $E \oplus E' \cong X \times \mathbb{C}^n$ . Let

$$p: X \rightarrow \text{End}(X \times \mathbb{C}^n) = M_n(C(X))$$

be the orthogonal projection onto  $E$ , for a metric on  $X \times \mathbb{C}^n$  such that  $E \perp E'$ .

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- $E = \text{im}(p)$
- $\Gamma(E) = pC(X)^n = \{x \mapsto p(x)f(x); f \in C(X)^n\}$ .

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- $E = \text{im}(p)$
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Conversely, if  $p: X \rightarrow M_n(C(X))$  is a projection, then  $E = \text{im}(p)$  is a vector bundle over  $X$ . And  $\text{im}(p) \cong \text{im}(q)$  if and only if  $p$  and  $q$  are homotopic through projections, possibly in a larger matrix algebra. So

$$K^0(X) = \{[p] - [q]; p, q \in M_n(C(X)) \text{ for some } n \text{ are projections}\}.$$

# Functoriality

Let  $X$  and  $Y$  be compact Hausdorff spaces, and  $f: X \rightarrow Y$  continuous. Then  $f$  induces

$$f^*: K^0(Y) \rightarrow K^0(X)$$

by

- $f^*([E] - [F]) = [f^*E] - [f^*F]$  for vector bundles  $E, F \rightarrow Y$
- $f^*([M] - [N]) = [M \otimes_{C(Y)} C(X)] - [N \otimes_{C(Y)} C(X)]$  for f.g.p. (right)  $C(Y)$ -modules  $M, N$
- $f^*([p] - [q]) = [f^*p] - [f^*q]$  for projections  $p, q \in M_n(C(Y))$ , where

$$(f^*p)_{j,k}(x) = p_{j,k}(f(x)).$$

# Locally compact spaces

Now let  $X$  be a locally compact Hausdorff space. Then its one-point compactification  $X^+$  is a compact Hausdorff space.

## Definition

The **topological  $K$ -theory** of  $X$  is the kernel  $K^0(X)$  of the map

$$i^*: K^0(X^+) \rightarrow K^0(\infty) = \mathbb{Z}$$

induced by the inclusion  $i: \infty \hookrightarrow X^+$  of the point at infinity.

# Higher $K$ -theory

## Definition

Let  $X$  be a locally compact Hausdorff space. Then for  $n \in \mathbb{Z}_{\geq 0}$ ,

$$K^n(X) = K^0(X \times \mathbb{R}^n).$$

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## Theorem (Bott periodicity)

For all such  $X$  and  $n$ ,

$$K^{n+2}(X) \cong K^0(X).$$



# $K$ -theory of $C^*$ -algebras

## $K$ -theory of unital $C^*$ -algebras

Let  $A$  be a  $C^*$ -algebra with a unit. Let

$$M_\infty(A) = \varinjlim_n M_n(A),$$

where  $M_n(A) \hookrightarrow M_{n+1}(A)$  by padding with zeroes.

## $K$ -theory of unital $C^*$ -algebras

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### Definition

The **even  $K$ -theory** of  $A$  is the abelian group  $K_0(A)$  generated by homotopy classes of projections in  $M_\infty(A)$ , subject to the relation

$$[p \oplus q] \sim [p] \oplus [q].$$

### Example

If  $X$  is a compact Hausdorff space,

$$K_0(C(X)) = K^0(X).$$

In particular,  $K_0(\mathbb{C}) = \mathbb{Z}$ .

# Functoriality

Let  $A, B$  be  $C^*$ -algebras with units. Let  $\varphi: A \rightarrow B$  be a  $*$ -homomorphism. Then

$$\varphi_*: K_0(A) \rightarrow K_0(B)$$

is defined by

$$\varphi([p] - [q]) = [\varphi_*p] - [\varphi_*q],$$

where

$$(\varphi_*p)_{j,k} = \varphi(p_{j,k}).$$

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### Example

Let  $X$  and  $Y$  be compact Hausdorff spaces, and  $f: X \rightarrow Y$  continuous. Then  $f^*: C(Y) \rightarrow C(X)$  is a  $*$ -homomorphism, and  $(f^*)_*$  is the map  $f^*: K^0(Y) \rightarrow K^0(X)$  from earlier.

Note: contravariant vs. covariant functoriality.

## Non-unital $C^*$ -algebras

Let  $A$  be a  $C^*$ -algebra, not necessarily with a unit. The **unitisation** of  $A$  is the  $C^*$ -algebra

$$A^+ = A \oplus \mathbb{C},$$

with multiplication

$$(a + z)(b + w) = ab + wa + zb + zw,$$

involution

$$(a + z)^* = a^* + \bar{z}$$

and norm

$$\|a + z\| = \|a + z\|_{\mathcal{B}(A)}.$$

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$$\|a + z\| = \|a + z\|_{\mathcal{B}(A)}.$$

### Example

If  $X$  is a locally compact Hausdorff space, then  $C_0(X)^+ = C(X^+)$ .

# $K$ -theory of non-unital $C^*$ -algebras

Let  $A$  be a  $C^*$ -algebra. Let  $\pi: A^+ \rightarrow \mathbb{C}$  be projection onto the factor  $\mathbb{C}$ .

## Definition

The **even  $K$ -theory** of  $A$  is the kernel of the map

$$\pi_*: K_0(A^+) \rightarrow K_0(\mathbb{C}) = \mathbb{Z}.$$



# Higher $K$ -groups

## Definition

For a  $C^*$ -algebra  $A$  and  $n \in \mathbb{Z}_{\geq 0}$ ,

$$K_n(A) = K_0(A \otimes C_0(\mathbb{R}^n)).$$

# Higher $K$ -groups

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## Theorem (Bott periodicity)

For all such  $A$  and  $n$ ,

$$K_{n+2}(A) = K_n(A).$$

We sometimes write  $K_*(A) := K_0(A) \oplus K_1(A)$ .

# Stability and continuity

## Theorem (Stability)

*If two  $C^*$ -algebras  $A$  and  $B$  are Morita equivalent, then for all  $n$ ,*

$$K_n(A) = K_n(B).$$

In particular,  $K_n(A \otimes \mathcal{K}) = K_n(A)$ .

# Stability and continuity

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## Theorem (Continuity)

If  $(A_j)_{j=1}^{\infty}$  is a sequence of  $C^*$ -algebras connected by  $*$ -homomorphisms  $A_n \rightarrow A_{n+1}$ , then

$$\varinjlim_j K_n(A_j) = K_n(\varinjlim_j A_j).$$

## Direct sums

For a sequence of  $C^*$ -algebras  $(A_j)_{j=1}^{\infty}$ , let

$$\bigoplus_{j=1}^{\infty} A_j$$

be the completion of the algebraic direct sum in the norm

$$\|(a_1, a_2, \dots, a_n, 0, \dots)\| = \sup_j \|a_j\|_{A_j}.$$

### Lemma

We have

$$K_n\left(\bigoplus_{j=1}^{\infty} A_j\right) = \bigoplus_{j=1}^{\infty} K_n(A_j).$$

### Proof.

This is elementary for finite direct sums. By continuity, this extends to infinite direct sums. □

# Homotopy invariance

Let  $A$  and  $B$  be two  $C^*$ -algebras.

## Definition

Two  $*$ -homomorphisms  $f, g: A \rightarrow B$  are **homotopic** if there is a path of  $*$ -homomorphisms  $(f_t)_{t \in [0,1]}: A \rightarrow B$  such that  $f_0 = f$ ,  $f_1 = g$  and for all  $a \in A$ ,

$$t \mapsto f_t(a)$$

is a continuous path in  $B$ .

## Proposition

If  $f, g: A \rightarrow B$  are homotopic, then they induce the same map

$$f_* = g_*: K_*(A) \rightarrow K_*(B).$$

# The six-term exact sequence

## Theorem

Let  $A$  be a  $C^*$ -algebra, and  $J \subset A$  a closed,  $*$ -closed, two-sided ideal. Then there is an exact sequence

$$\begin{array}{ccccc} K_0(J) & \longrightarrow & K_0(A) & \longrightarrow & K_0(A/J) \\ & & & & \downarrow \delta \\ \delta \uparrow & & & & \\ K_1(A/J) & \longleftarrow & K_1(A) & \longleftarrow & K_1(J) \end{array}$$

Here we use Bott periodicity:  $K_2(J) = K_0(J)$ .

# Examples

- For any locally compact Hausdorff space  $X$ ,

$$K_n(C_0(X)) = K^n(X).$$

- $$K_n(C_0(\mathbb{R}^n)) = \mathbb{Z} \quad K_{n+1}(C_0(\mathbb{R}^n)) = 0$$

- $$K_0(C_0([0, \infty))) = K_1(C_0([0, \infty))) = 0$$

- $$K_0(C_0(\mathbb{R}) \rtimes (\mathbb{Z}/2)) = \mathbb{Z} \quad K_1(C_0(\mathbb{R}) \rtimes (\mathbb{Z}/2)) = 0$$



## More examples

- For any Hilbert space  $H$ ,

$$K_0(\mathcal{K}(H)) = K_0(\mathbb{C}) = \mathbb{Z} \quad K_1(\mathcal{K}(H)) = K_1(\mathbb{C}) = 0.$$

- For any infinite-dimensional, separable Hilbert space  $H$ ,

$$K_0(\mathcal{B}(H)) = K_1(\mathcal{B}(H)) = 0.$$

- If  $K$  is a compact Lie group, then by Peter–Weyl,

$$\begin{aligned} K_0(C^*(K)) &= K_0\left(\bigoplus_{V \in \hat{K}} \text{End}(V)\right) \\ &= \bigoplus_{V \in \hat{K}} K_0(\text{End}(V)) = \bigoplus_{V \in \hat{K}} \mathbb{Z} = R(K). \end{aligned}$$

## $K_1$ via invertible matrices

If  $A$  is a unital  $C^*$ -algebra, let  $GL_n(A)$  be the group of invertible  $n \times n$  matrices over  $A$ . We embed  $GL_n(A) \hookrightarrow GL_{n+1}(A)$  by adding a 1 on the bottom-right corner, and zeros everywhere else. Set

$$GL_\infty(A) = \varinjlim_n GL_n(A).$$

Let  $GL_\infty(A)_0 < GL_\infty(A)$  be the connected component of the identity.

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### Proposition

*For every, possibly non-unital,  $C^*$ -algebra  $A$ , there is an isomorphism of abelian groups*

$$GL_\infty(A^+)/GL_\infty(A^+)_0 \cong K_1(A).$$

# III $K$ -theory of $C^*$ -algebras of reductive Lie groups

## The case of a real reductive group

For a real reductive Lie group  $G$ , the group  $C^*$ -algebra  $C_r^*(G)$  can be described explicitly via representation theory. (See Tyrone's lectures.)

This can be used to describe  $K_0(C_r^*(G))$ , but it gives more information.

# The reduced $C^*$ -algebra of a real reductive group

Let  $G$  be a connected, linear, real reductive Lie group.

Theorem (A. Wassermann, Clare–Crisp–Higson,  
Clare–Higson–Song–Tang)

*The reduced group  $C^*$ -algebra of  $G$  is Morita equivalent to*

$$\sum_{[P, \sigma]} C_0(\mathfrak{a}/W'_\sigma) \rtimes R_\sigma.$$

- $P = MAN$  runs over the cuspidal parabolics
- $\sigma$  is a discrete series representation of  $M$
- $[P, \sigma] = [P', \sigma']$  if there is a  $k \in K$  such that  $M'A' = kMAk^{-1}$  and  $\text{Ad}_k^* \sigma' \cong \sigma$
- $W_\sigma = \{w \in N_K(MA); \text{Ad}_w^* \sigma \cong \sigma\} / (K \cap M)$
- $I(w, \sigma): \text{Ind}_P^G(\sigma \otimes 1) \rightarrow \text{Ind}_P^G(\text{Ad}_w^* \sigma \otimes 1)$  is the Knapp–Stein intertwiner
- $W'_\sigma = \{w \in W_\sigma; I(w, \sigma) \in \mathbb{C}I\}$
- $W_\sigma = W'_\sigma \rtimes R_\sigma$  for the  $R$ -group  $R_\sigma \cong (\mathbb{Z}/2)^n$ .

# The $K$ -theory $C_r^*(G)$ : trivial contributions

## Theorem

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## Lemma

*If  $W'_\sigma \neq \{e\}$ , then*

$$K_*(C_0(\mathfrak{a}/W'_\sigma) \rtimes R_\sigma) = 0.$$



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## Example

If  $\dim(\mathfrak{a}) = 1$  and  $W_\sigma = W'_\sigma$  acts by reflections,

$$K_*(C_0(\mathfrak{a}/W'_\sigma) \rtimes R_\sigma) = K_*(C_0([0, \infty))) = 0.$$

# The $K$ -theory $C_r^*(G)$ : nontrivial contributions

## Theorem (Knapp–Stein)

*If  $W'_\sigma = \{e\}$ , then  $R_\sigma = (\mathbb{Z}/2)^{\dim(A_{\max}) - \dim(A)}$ , and  $\mathfrak{a}$  is  $R_\sigma$ -equivariantly isomorphic to  $\mathbb{R}^{\dim(A)}$ , on which  $R_\sigma$  acts by reflections in the first  $\dim(A_{\max}) - \dim(A)$  coordinates.*

# The $K$ -theory $C_r^*(G)$ : nontrivial contributions

## Theorem (Knapp–Stein)

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## Corollary

If  $W'_\sigma = \{e\}$ , then

$$C_0(\mathfrak{a}/W'_\sigma) \rtimes R_\sigma \cong C_0(\mathbb{R}^{\dim(A_{\max})}) \otimes (C_0(\mathbb{R}) \rtimes (\mathbb{Z}/2))^{\otimes (\dim(A_{\max}) - \dim(A))},$$

and

$$\begin{aligned} K_{\dim(A_{\max})}(C_0(\mathfrak{a}/W'_\sigma) \rtimes R_\sigma) &= \mathbb{Z} \\ K_{\dim(A_{\max})+1}(C_0(\mathfrak{a}/W'_\sigma) \rtimes R_\sigma) &= 0. \end{aligned}$$

Note:  $\dim(A_{\max}) = \dim(G/K) \pmod{2}$ .

# The $K$ -theory of $C_r^*(G)$

Conclusion:

$$\begin{aligned} K_{\dim(G/K)}(C_r^*(G)) &= \bigoplus_{[P,\sigma]} K_{\dim(G/K)}(C_0(\mathfrak{a}/W'_\sigma) \rtimes R_\sigma) \\ &= \bigoplus_{[P,\sigma], W'_\sigma = \{e\}} \mathbb{Z} \end{aligned}$$

and

$$K_{\dim(G/K)+1}(C_r^*(G)) = 0.$$

## The discrete series

Suppose that  $G$  has a compact Cartan subgroup. Then it is a cuspidal parabolic of itself, and  $C_r^*(G)$  has the direct summand

$$\bigoplus_{\sigma \in \hat{G}_{\text{ds}}} \mathcal{K}(H_\sigma).$$

So  $K_0(C_r^*(G))$  has the direct summand

$$\bigoplus_{\sigma \in \hat{G}_{\text{ds}}} K_0(\mathcal{K}(H_\sigma)) = \bigoplus_{\sigma \in \hat{G}_{\text{ds}}} \mathbb{Z}.$$

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The generator corresponding to  $\sigma \in \hat{G}_{\text{ds}}$  can be described as follows. Fix  $v \in H_\sigma$  of norm 1. Consider the matrix coefficient

$$m_{v,v}(g) = (\sigma(g)v, v).$$

Then  $p_\sigma := d_\sigma m_{v,v}$  is an idempotent in  $C_r^*(G)$ , and its class generates  $K_0(\mathcal{K}(H_\sigma))$ .

# Example: $G = \mathrm{SL}(2, \mathbb{R})$

If  $G = \mathrm{SL}(2, \mathbb{R})$ , then we have a Morita equivalence

$$C_r^*(G) \sim \underbrace{\overbrace{C_0(\mathbb{R}/(\mathbb{Z}/2))}^{\text{spherical princ. ser.}} \oplus \overbrace{C_0(\mathbb{R}) \rtimes (\mathbb{Z}/2)}^{\text{non-spherical princ. ser.}}}_{\text{minimal parabolic}} \oplus \underbrace{\bigoplus_{n=1}^{\infty} \overbrace{\mathcal{K}(H_{n,+})}^{\text{hol. d.s.}} \oplus \overbrace{\mathcal{K}(H_{n,-})}^{\text{antihol. d.s.}}}_{\text{maximal parabolic } G}$$

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So

$$K_0(C_r^*(G)) = K_0(C_0(\mathbb{R}) \rtimes (\mathbb{Z}/2)) \oplus \bigoplus_{n=1}^{\infty} K_0(\mathcal{K}(H_{n,+})) \oplus K_0(\mathcal{K}(H_{n,-})),$$

where every  $K$ -group on the right hand side is  $\mathbb{Z}$ .



## Example: complex groups

Let  $G$  be a complex semisimple Lie group. Then there is one parabolic  $P = MAN$  up to conjugacy,  $M$  is a torus, and all principal series representations are irreducible. So  $W'_\sigma = W_\sigma$  for all  $\sigma \in \hat{M}$ , and there is a Morita equivalence

$$C_r^*(G) \sim \bigoplus_{[P, \sigma]} C_0(\mathfrak{a}/W_\sigma) = C_0(\hat{G}_{\text{temp}}).$$

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So

$$K_n(C_r^*(G)) = K^n(\hat{G}_{\text{temp}}) = \bigoplus_{W_\sigma = \{e\}} K^n(\mathfrak{a}),$$

and

$$K^{\dim(G/K)}(\mathfrak{a}) = \mathbb{Z} \quad K^{\dim(G/K)+1}(\mathfrak{a}) = 0.$$

This case was worked out by Penington and Plymen in 1983.

## IV The higher index

# The Fredholm index

Consider the ideal  $\mathcal{K}(H) \subset \mathcal{B}(H)$ . We have the boundary map in the six-term exact sequence

$$\delta: K_1(\mathcal{B}(H)/\mathcal{K}(H)) \rightarrow K_0(\mathcal{K}(H)) = \mathbb{Z}.$$

# The Fredholm index

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$$\delta: K_1(\mathcal{B}(H)/\mathcal{K}(H)) \rightarrow K_0(\mathcal{K}(H)) = \mathbb{Z}.$$

Let  $F \in \mathcal{B}(H)$  be Fredholm. Then its class in  $\mathcal{B}(H)/\mathcal{K}(H)$  is invertible, and defines an element

$$[F] \in K_1(\mathcal{B}(H)/\mathcal{K}(H)).$$

## Proposition

Now

$$\delta[F] = \text{index}(F) = \dim(\ker(F)) - \dim(H/\text{im}(F)).$$

## The abstract index

Whenever we have an ideal  $J \subset A$  and an element  $a \in A$  invertible modulo  $J$ , we can **define**

$$\text{index}_J(a) := \delta[a] \in K_0(J),$$

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In particular, if  $\mathcal{E}$  is a Hilbert  $A$ -module, then an  $A$ -Fredholm operator  $F \in \mathcal{L}(\mathcal{E})$  has an index

$$\text{index}_{\mathcal{K}(\mathcal{E})}(F) \in K_0(\mathcal{K}(\mathcal{E})).$$

If  $\mathcal{E}$  is **full**, i.e.

$$\text{span}\{(v, w); v, w \in \mathcal{E}\} \subset A$$

is dense, then  $\mathcal{E}$  defines a Morita equivalence between  $\mathcal{K}(\mathcal{E})$  and  $A$ , and we obtain

$$\text{index}_{\mathcal{K}(\mathcal{E})}(F) \in K_0(A).$$

## The equivariant index

Let  $G$  be a locally compact group acting properly and isometrically on a Riemannian manifold  $M$ , such that  $M/G$  is compact. Let  $D$  be a  $G$ -equivariant elliptic, self-adjoint, odd-graded, first order differential operator on a  $\mathbb{Z}/2$ -graded Hermitian  $G$ -vector bundle  $E \rightarrow M$ .



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We constructed a Hilbert  $C^*(G)$ -module  $\mathcal{E}$  by completing  $\Gamma_c(E)$  in the inner product

$$(s_1, s_2)(g) = (s_1, g \cdot s_2)_{L^2(E)}.$$

The operator  $D$  defines a  $C^*(G)$ -Fredholm operator  $F \in \mathcal{L}(\mathcal{E})$ , which is a modification of

$$\frac{D}{\sqrt{D^2 + 1}}.$$

Here  $C^*(G)$  can be either the maximal or reduced group  $C^*$ -algebra.

### Definition

The **equivariant index** of  $D$  is

$$\text{index}_G(D) = \text{index}_{\mathcal{K}(\mathcal{E})}(F) \in K_0(C^*(G)).$$

## Relation with classical indices

If  $G = K$  is **compact**, then

$$\text{index}_K(D) = [\ker(D)] - [\ker(D^*)] \in K_0(C^*(K)) = R(K).$$

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Suppose that  $M$  is the universal cover of a compact manifold  $X$ , and that  $G = \Gamma = \pi_1(X)$ . Let  $D_X$  be the operator on  $E/\Gamma \rightarrow X$  induced by  $D$ . Consider the homomorphism

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given by summing functions over  $\Gamma$ . Then

$$(\sum_\Gamma)_* \text{index}_\Gamma(D) = \text{index}(D_X) \in \mathbb{Z}.$$

So  $\text{index}_\Gamma(D)$  is a **refinement** of  $\text{index}(D_X)$ . (The same can be achieved via the trace  $\tau(f) = f(e)$  on  $C^*(\Gamma)$  via Atiyah's  $L^2$ -index theorem.)

## Dirac operators on $G/K$

Let  $G$  be a connected Lie group. Let  $K < G$  be maximal compact, and  $\mathfrak{g} = \mathfrak{k} \oplus \mathfrak{p}$  an orthogonal decomposition for a  $K$ -invariant inner product. Suppose (for simplicity) that the map

$$\text{Ad}: K \rightarrow \text{SO}(\mathfrak{p})$$

lifts to

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Let  $\{X_1, \dots, X_n\}$  be an orthonormal basis of  $\mathfrak{p}$ . For  $V \in \hat{K}$ , consider the Dirac operator

$$D_{G/K}^V = \sum_{j=1}^n L_{X_j} \otimes c(X_j) \otimes 1_V$$

on

$$(C^\infty(G) \otimes S_{\mathfrak{p}} \otimes V)^K.$$

# The discrete series

## Theorem (Parthasarathy 1972, Atiyah–Schmid 1977)

Let  $G$  be a connected, real semisimple Lie group with  $\text{rank}(G) = \text{rank}(K)$ . Let  $V \in \hat{K}$ , and let  $\lambda$  be its highest weight. Then

- if  $\lambda + \rho_K$  is regular, then  $\ker_{L^2}(D_{G/K}^V)$  is the discrete series representation of  $G$  with Harish–Chandra parameter  $\lambda + \rho_K$
- if  $\lambda + \rho_K$  is singular, then  $\ker_{L^2}(D_{G/K}^V) = 0$ .

## Theorem (Connes–Moscovici 1982)

Let  $G$  be a connected, real semisimple Lie group with  $\text{rank}(G) > \text{rank}(K)$ . Then for all  $V \in \hat{K}$ ,  $\ker_{L^2}(D_{G/K}^V) = 0$ .

# The Connes–Kasparov conjecture

Using the equivariant index, we obtain information from  $D_{G/K}^V$  for **any**  $G$  and  $V$ .

## Definition

**Dirac induction** is the map

$$\text{D-Ind}_K^G: R(K) \rightarrow K_*(C_r^*(G))$$

given by  $\text{D-Ind}_K^G[V] = \text{index}_G(D_{G/K}^V)$ .



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## Conjecture (Connes–Kasparov)

*For almost connected Lie groups  $G$ , Dirac induction is an isomorphism of abelian groups.*

# Proofs of the Connes–Kasparov conjecture

## Conjecture (Connes–Kasparov)

*For almost connected Lie groups  $G$ , Dirac induction  $V \mapsto \text{index}_G(D_{G/K}^V)$  is an isomorphism of abelian groups  $R(K) \cong K_*(C_r^*(G))$ .*

Proofs:

- for semisimple/reductive groups:
  - ▶ by computing  $C_r^*(G)$ : A. Wassermann 1987 + recent work by Clare, Crisp, Higson, Song, Tang and Vogan
  - ▶ directly: V. Lafforgue 2002, Afgoustidis 2019
- in general: Chabert–Echterhoff–Nest 2003.

## Example: the discrete series

Suppose that  $G$  has discrete series representations, and let  $\pi$  be a discrete series representation. We saw that  $\pi$  contributes a generator

$$[p_\sigma] := [d_\sigma m_{\nu, \nu}] \in K_0(\mathcal{K}(H_\sigma)) \subset K_0(C_r^*(G)).$$

This generator equals

$$[p_\sigma] = \text{D-Ind}_K^G[V] = \text{index}_G(D_{G/K}^V),$$

with  $D_{G/K}^V$  as in Parthasarathy's/Atiyah–Schmid's construction.

# Classifying space for proper actions

Let  $G$  be a locally compact, Hausdorff, second countable group.

## Definition

A **classifying space for proper actions** by  $G$  is a topological space  $\underline{E}G$  with a proper action by  $G$ , such that for any proper  $G$ -space  $X$ ,

- there is a  $G$ -equivariant continuous map  $X \rightarrow \underline{E}G$
- any two such maps are  $G$ -equivariantly homotopic.

This exists and is unique up to  $G$ -equivariant homotopy equivalence.

## Example

If  $G$  is a connected Lie group, we can take  $\underline{E}G = G/K$  by Abels' theorem.

# The Baum–Connes conjecture

## Conjecture (Baum–Connes 1982)

*The equivariant index defines an isomorphism of abelian groups*

$$RK_*^G(\underline{EG}) \rightarrow K_*(C_r^*(G)),$$

where  $RK_*^G$  denotes **representable equivariant  $K$ -homology**.

Intuitively,  $RK_*^G(\underline{EG})$  consists of homotopy classes of abstract  $G$ -equivariant elliptic operators on proper  $G$ -spaces  $X$  such that  $X/G$  is compact.

The idea is that this is more computable than  $K_*(C_r^*(G))$ .

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The conjecture has been proved for many classes of groups.

An important open case is non-cocompact lattices in Lie groups with real rank  $\geq 2$ , e.g.  $SL(3, \mathbb{Z})$ .

## Special cases

- If  $G$  is a connected, real Lie group, then

$$RK_G^*(\underline{EG}) = K_G^*(G/K) = R(K)$$

via  $[D_{G/K}^V] \leftrightarrow [V]$ , and the Baum–Connes conjecture becomes the Connes–Kasparov conjecture.

- The Novikov conjecture in manifold topology (rational injectivity), where  $G = \pi_1(X)$  as earlier.

## Topics not covered—a non-exhaustive list

- $KK$ -theory:  $KK(A, B)$ , encodes relations/maps between  $K_*(A)$  and  $K_*(B)$
- extracting information from  $K$ -theory via pairing with cyclic cohomology or traces, e.g. orbital integrals



Thank you